

# Entanglement in quantum many-body systems

## I. Introduction

### 1. Quantum many-body systems & lattice models

Quantum many-body (QMB) systems:

many "elementary" particles/constituents interacting.

E.g.: Nuclei + electrons which interact

→ basis of all the "normal" matter around us:

molecules, solids, liquids, gases, ...

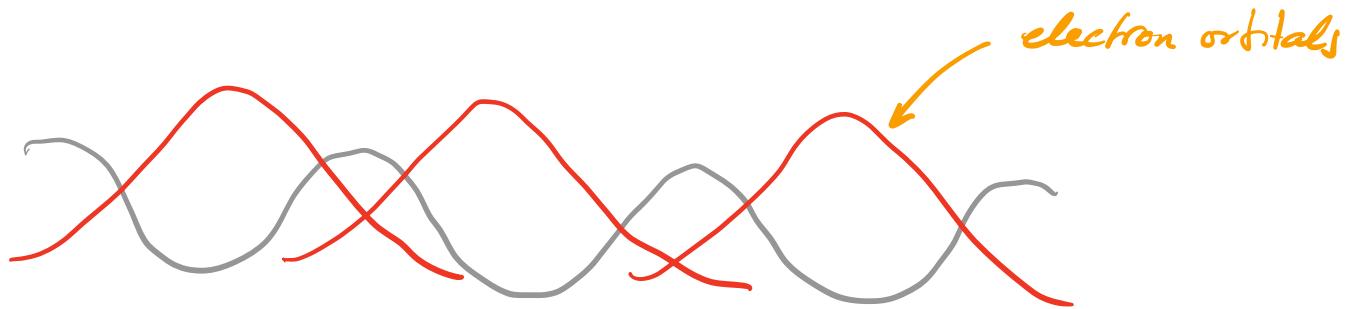
Focus of lecture: Solids:

- Electrons + nuclei → form crystal lattice
- Electrons in filled orbitals & in chemical bonds:  
not relevant at low energies, e.g. room temperature, where crystal lattice.

(Note: typ. binding energy:  $\sim 1$  Hartree = 13.6 eV,  
room temperature: 300K  $\approx 25$  meV)

- Remaining electrons (in not fully filled orbitals):  
(or holes = missing electrons, eg. 9d)

\* experience a periodic potential from crystal lattice



Large orbitals,  
big overlap  
(typ. s/p orbitals, since these  
have large principal quantum  
numbers)



lattice of fermionic modes,  
where fermions can move



often metallic behavior.

— for now not our focus,  
will return to it later —

Small orbitals,

little overlap  
(typ. d/f orbitals, which have small  
principal quantum number →  
transition group elements)



electrons localized, do not  
move → fermionic nature  
irrelevant, but they have  
a spin  $-1/2$ .



effective lattice of spins  
(typ. insulators).

→ effective model for (part of) such a system:

spin- $\frac{1}{2}$  degrees of freedom on a regular lattice.

(A priori a 3D lattice, but e.g. layered materials

can have independent 2D layers; or we can be

interested in effects at surfaces/edges:

2D/1D also relevant.)

(Note: There is also other mechanisms which can

create such effective spin models - e.g. fermions

in a half-filled band; and they can be

created in quantum simulators, e.g. in optical

lattices.)

## 2. Quantum Spin Systems

### a) Hilbert space of quantum spin systems

What Hilbert space (i.e., wavefunction) do we need to describe a quantum spin system?

A single spin can be in two states,

$$|\uparrow\rangle \text{ or } |\downarrow\rangle.$$

We will often use the notation

$$|0\rangle \equiv |\uparrow\rangle \quad \text{and} \quad |1\rangle \equiv |\downarrow\rangle.$$

We can also use a spin notation

$$|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}.$$

A general state of one quantum spin is then

$$|\psi\rangle = c_0 |0\rangle + c_1 |1\rangle \in \mathbb{C}^2$$



For two spins, we then have basis states

$$|0\rangle \otimes |0\rangle \equiv |0\rangle|0\rangle \equiv |00\rangle \equiv \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$|0\rangle \otimes |1\rangle \equiv |0\rangle|1\rangle \equiv |01\rangle \equiv \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$$

$$|1\rangle \otimes |0\rangle \equiv |1\rangle|0\rangle \equiv |10\rangle \equiv \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

$$|1\rangle \otimes |1\rangle \equiv |1\rangle|1\rangle \equiv |11\rangle \equiv \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}$$

lexicographic ordering:  
 $\begin{matrix} |00\rangle \\ |01\rangle \\ |10\rangle \\ |11\rangle \end{matrix}$

A general state of two qubits is then of the form

$$|\phi\rangle = c_{00}|00\rangle + c_{01}|01\rangle + c_{10}|10\rangle + c_{11}|11\rangle$$

$$\in \mathbb{C}^2 \otimes \mathbb{C}^2 = (\mathbb{C}^2)^{\otimes 2} \cong \mathbb{C}^4$$

(Note: This contains states not of the form  $|\phi_1\rangle \otimes |\phi_2\rangle$ !)

Basis for N spins:

$$|s_1, s_2, \dots, s_N\rangle, \quad \text{with } s_i = 0, 1 \quad \forall i:$$

$$\left. \begin{matrix} |00 \dots 00\rangle \\ |00 \dots 01\rangle \\ |00 \dots 10\rangle \\ \vdots \\ |11 \dots 11\rangle \end{matrix} \right\}$$

$2^N$  orthogonal basis vectors

(Note: If written as vector, order components as here.)

Most general state

$$|\phi\rangle = \sum_{s_i=0,1} c_{s_1, \dots, s_N} |s_1, s_2, \dots, s_N\rangle$$

$$\in \underbrace{\mathbb{C}^2 \otimes \dots \otimes \mathbb{C}^2}_{N \text{ times}} = (\mathbb{C}^2)^{\otimes N} \cong \mathbb{C}^{(2^N)}$$

$2^N$ -dimensional vector!

State of a spin system with  $N$  spins lives in an exponentially big Hilbert space of dimension  $2^N$ !

More generally, if we have a  $d$ -level system,  $d \geq 2$ , at each lattice site (e.g. optical lattices, effective degrees of freedom), with basis  $|0\rangle, \dots, |d-1\rangle$ , the state is

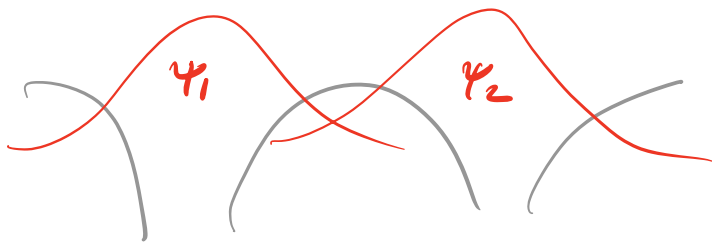
$$|\phi\rangle = \sum_{s_i=0}^{d-1} c_{s_1, \dots, s_N} |s_1, \dots, s_N\rangle \in (\mathbb{C}^d)^{\otimes N} \cong \mathbb{C}^{(d^N)}$$

i.e., it lives in a  $d^N$ -dim. Hilbert space.

## b) Interactions

To study the physics of a quench. system, we need to know its Hamiltonian - here, how the spins interact.

First, consider two spins:



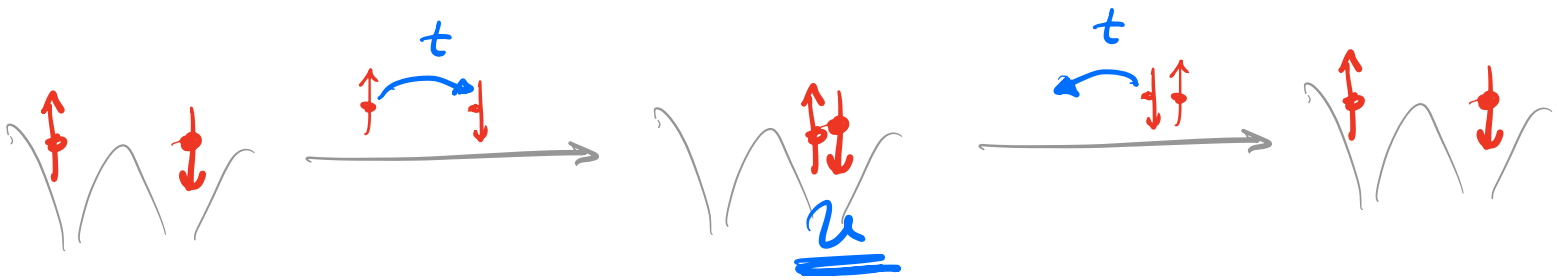
also used in optical lattices!

One possible mechanism (not most common, but easiest to explain): Direct exchange.

- orbitals  $\psi_1$  and  $\psi_2$  overlap  
 $\Rightarrow$  possibility for electron to tunnel from  $1 \leftrightarrow 2$   
 with tunneling rate  $t$ .
- Considers a process where electron 1, tunnels to 2
- Can only happen if the two electrons form a singlet (Pauli exclusion principle),

$$|\phi\rangle = \frac{1}{\sqrt{2}} (|1\uparrow 2\downarrow\rangle - |1\downarrow 2\uparrow\rangle) = \frac{1}{\sqrt{2}} (|01\rangle - |10\rangle)$$

- If both electrons are at the same site, they experience strong Coulomb repulsion  $U$ .
- $U \gg t$ : ground state has exactly one electron per site, but there is an energy correction from 2nd order perturbation theory:



correction from 2nd order perturbation theory:

$$\Delta E = - \frac{t^2}{U}$$

- We thus find: energy of singlet state

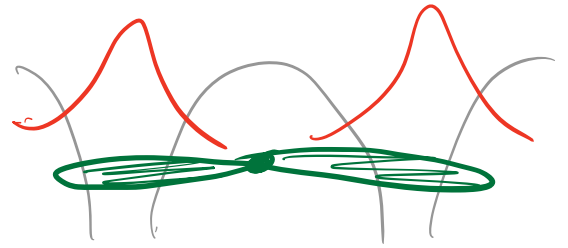
$$|\phi\rangle = \frac{1}{\sqrt{2}} (|01\rangle - |10\rangle) \text{ lower by } -\frac{t^2}{U}$$

$\Rightarrow$  antiferromagnetic Heisenberg interaction.

Chapter I, pg 9

The same, or similar, interactions (including ferromagnetic ones) can be obtained from a range of other mechanisms, e.g.

- intermediate orbitals which induce an effective coupling



- coupling through intermediate coupling to a band of electrons — the RKKY interaction (Ruderman - Kittel - Kasuya - Yosida)

Further reading: W. Nolting, A. Kamakuchi:  
Quantum Theory of Magnetism (Springer 2009)

What is the general structure of interactions in a quantum spin system?

- locality: interactions only couple nearby spins (or strength decays rapidly with distance)
- few-body: interactions only couple a small number (typ. 2) spins.

→ symmetry: interactions generally have the symmetries of the setup (lattice, ...)

How does a general 2-body interaction look like?

$$h: \mathbb{C}^2 \otimes \mathbb{C}^2 \rightarrow \mathbb{C}^2 \otimes \mathbb{C}^2$$

↖ Hamiltonian:  $4 \times 4$ -matrix

We can express  $h$  using spin operators:

$$S^x = \frac{1}{2} \sigma^x = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \quad S^y = \frac{1}{2} \sigma^y = \frac{1}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix};$$

$$S^z = \frac{1}{2} \sigma^z = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix};$$

and  $\mathbb{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

The spin operator  $S^x$  acting on site 2 is given by

$$\begin{aligned}
 S_2^\alpha &\equiv \mathbb{1} \otimes S_2^\alpha \\
 &\equiv \mathbb{1}_1 \otimes S_2^\alpha = \left( \begin{array}{c|c} 1 \cdot S_2^\alpha & 0 \cdot S_2^\alpha \\ \hline 0 \cdot S_2^\alpha & 1 \cdot S_2^\alpha \end{array} \right) \begin{array}{l} |00\rangle \\ |01\rangle \\ |10\rangle \\ |11\rangle \end{array} \\
 &= \left( \begin{array}{c|c} S_2^\alpha & \\ \hline & S_2^\alpha \end{array} \right)
 \end{aligned}$$

↑  
shorthand notation

and similarly:

$$S_1^\alpha = S_1^\alpha \otimes \mathbb{1} \equiv S_1^\alpha \otimes \mathbb{1}_2,$$

$$\text{e.g., } S_1^\alpha = \frac{1}{2} \left( \begin{array}{c|c} 0 \cdot \mathbb{1} & 1 \cdot \mathbb{1} \\ \hline 1 \cdot \mathbb{1} & 0 \cdot \mathbb{1} \end{array} \right) = \frac{1}{2} \left( \begin{array}{c|c} 0 & \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} \\ \hline \begin{smallmatrix} 1 & 0 \\ 0 & 1 \end{smallmatrix} & 0 \end{array} \right).$$

We can also act with  $S^\alpha$  on 1 &  $S^\beta$  on 2:

$$\begin{aligned}
 S_1^\alpha \cdot S_2^\beta &= S_1^\alpha \otimes S_2^\beta \\
 &\equiv S_1^\alpha \otimes S_2^\beta = \frac{1}{2} \left( \begin{array}{c|c} 0 & S_2^\beta \\ \hline S_2^\beta & 0 \end{array} \right).
 \end{aligned}$$

↑  
shorthand notation w/out  $\otimes$

↑  
e.g.,  $S_1^\alpha = S_1^\alpha$

Examples:

$$S_1^x, S_2^x = \frac{1}{4} \begin{pmatrix} 0 & & & 1 \\ & 0 & 1 & \\ & 1 & 0 & \\ 1 & & & 0 \end{pmatrix};$$

$$S_1^y, S_2^y = \frac{1}{4} \begin{pmatrix} & & & -1 \\ & 1 & & \\ & & -1 & \\ -1 & & & \end{pmatrix};$$

$$S_1^z, S_2^z = \frac{1}{4} \begin{pmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & 1 \end{pmatrix}$$

Note: The spin operator in a general

direction  $\vec{r} = (r_x, r_y, r_z)$ ,  $\|\vec{r}\| = 1$ , is

$$r_x \cdot S^x + r_y S^y + r_z S^z = \vec{r} \cdot \vec{S},$$

$$\text{with } \vec{S} = (S^x, S^y, S^z),$$

Rotations  $\sigma$  in real space transform  $\vec{r}, \vec{S}$

by rotating  $\vec{r}$  — and thus equivalently  $\vec{S}$ :

$$\vec{r} \cdot \vec{S} \xrightarrow{\sigma} (\sigma \vec{r}) \cdot \vec{S} = \vec{r} \cdot (\sigma^T \vec{S})$$



Chapter I Pg. 13?  
What are some prototypical simple interactions?

- The derivation before  $|\phi\rangle = \frac{1}{\sqrt{2}}(|01\rangle + |10\rangle)$  gets energy  $-\frac{t^2}{u}$  — is:

$$E = -\frac{t^2}{u} |\phi\rangle\langle\phi| = -\frac{t^2}{u} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ -1 \\ 0 \end{pmatrix} \frac{(0 \ 1 \ -1 \ 0)}{\sqrt{2}}$$

energy  $-\frac{t^2}{u}$  to  $|\phi\rangle$ ,

0 to the other states

$$= -\frac{t^2}{2u} \begin{pmatrix} 0 & & & \\ & 1 & -1 & \\ & -1 & 1 & \\ & & & 0 \end{pmatrix} = \frac{t^2}{2u} \begin{pmatrix} 0 & & & \\ & -1 & 1 & \\ & 1 & -1 & \\ & & & 0 \end{pmatrix}$$

- The only fully rotationally invariant interaction:

$$H = J \cdot (S_1^x S_2^x + S_1^y S_2^y + S_1^z S_2^z)$$

$$\equiv J \cdot (\vec{S}_1 \cdot \vec{S}_2)$$

$$= \frac{J}{4} \left[ \begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix} + \begin{pmatrix} & & & -1 \\ & & & \\ & & & \\ -1 & & & \end{pmatrix} + \begin{pmatrix} & & & \\ & & & -1 \\ & & & \\ & & & -1 \\ & & & \\ & & & 1 \end{pmatrix} \right]$$

$$= \frac{J}{4} \begin{pmatrix} 1 & & & \\ & -1 & 2 & \\ & 2 & -1 & \\ & & & 1 \end{pmatrix}$$

$$= \frac{J}{4} \left[ \begin{pmatrix} 0 & & & \\ & -2 & 2 & \\ & 2 & -2 & \\ & & & 0 \end{pmatrix} + \underbrace{\begin{pmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{pmatrix}}_{\text{Constant!}} \right]$$

$$= \frac{J}{2} \begin{pmatrix} 0 & & & \\ & -1 & 1 & \\ & 1 & -1 & \\ & & & 0 \end{pmatrix} + \frac{J}{4}$$

$\Rightarrow$  same as  $-\frac{t^2}{u} |\phi \times \phi|$  (up to constant)

Heisenberg interaction

$J > 0$ : antiferromagnetic

$J < 0$ : ferromagnetic

Eigenvalues of operator

$$\vec{S}_1 \cdot \vec{S}_2 = \frac{1}{4} \begin{pmatrix} 1 & & & \\ & -1 & 2 & \\ & 2 & -1 & \\ & & & 1 \end{pmatrix} :$$

$$1 \times \left(-\frac{3}{4}\right), \text{ with eigenvector } \begin{pmatrix} 0 \\ 1 \\ -1 \\ 0 \end{pmatrix} = |01\rangle - |10\rangle \quad \left. \begin{array}{l} \text{total spin} \\ S_z = 0 \end{array} \right\}$$

$$3 \times \left(+\frac{1}{4}\right), \text{ with eigenvectors } \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix} = |00\rangle \quad \left. \begin{array}{l} \text{total spin} \\ S_z = +1 \end{array} \right\}$$

$$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} = |11\rangle \quad \left. \begin{array}{l} S_z = -1 \end{array} \right\}$$

$$\begin{pmatrix} 0 \\ 1 \\ 1 \\ 0 \end{pmatrix} = |01\rangle + |10\rangle \quad \left. \begin{array}{l} S_z = 0 \end{array} \right\}$$

Important: To preserve rotational symmetry,

$\frac{|01\rangle + |10\rangle}{\sqrt{2}}$  must go with the ferromagnetic

states: classical intuition misleading!

$\Rightarrow$  form of quantum correlations

(entanglement) plays an essential role!

Other important interactions:

Ising interaction:  $h = S_1^x \cdot S_2^x$   
(or  $S_1^z \cdot S_2^z, \dots$ )

XX interaction:  $h = S_1^x \cdot S_2^x + S_1^y \cdot S_2^y$

XXZ interaction:  $h = S_1^x \cdot S_2^x + S_1^y \cdot S_2^y + \Delta S_1^z \cdot S_2^z$

... these have a preferred axis/plane,

How do these act on the full N-spin Hilbert space?

$$|\phi\rangle = \sum c_{s_1 \dots s_N} |s_1, s_2, \dots, s_N\rangle$$

$h_{12} |\phi\rangle$  acts only on  $s_1, s_2$ , and

leaves other  $s_i$  invariant:

$$\begin{aligned} h_{12} |\phi\rangle &= \sum c_{s_1 \dots s_N} (h_{12} |s_1, s_2\rangle) \otimes |s_3, s_4, \dots\rangle \\ &= \sum c_{s_1 \dots s_N} (h_{12})_{s_1, s_2}^{s'_1, s'_2} |s'_1, s'_2, s_3, \dots\rangle \end{aligned}$$

That is:  $h_{12}$  should be understood as

$$h_{12} \otimes \mathbb{1}_3 \otimes \mathbb{1}_4 \otimes \dots \otimes \mathbb{1}_N.$$

Or we can do this right at the level of spin operators,

$$S_i^\alpha = \mathbb{1}_1 \otimes \dots \otimes \mathbb{1}_{i-1} \otimes S_i^\alpha \otimes \mathbb{1}_{i+1} \otimes \dots,$$

and define  $h_{ij}$  using these  $S_i^\alpha$ , e.g.,

$$h_{ij} = J \vec{S}_i \cdot \vec{S}_j.$$

Can couple arbitrary spins this way, but typ. Hamiltonian should be local ( $\rightarrow$  mechanism behind net.)

Total Hamiltonian: sum of all (local) terms,

$$H = \sum_k h_k \stackrel{\text{e.g.}}{=} \sum J_{ij} \vec{S}_i \cdot \vec{S}_j$$

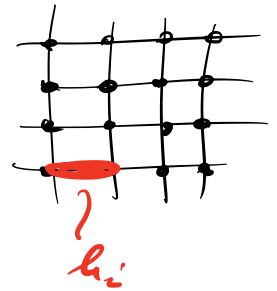
sum over all local terms  $\rightarrow k$

$\nearrow$  should decay with distance.

## c) Study of quantum spin systems

Spin system:

$$\mathcal{H} = (\mathbb{C}^d)^{\otimes N}; \quad \text{lattice geometry}$$



$$H = \sum_i h_i \quad \text{local / quasi-local interactions}$$

$H$  is typically transl. invariant, i.e.

$h_i \equiv h$ , centered at position  $i$  - e.g.

Heisenberg coupling, ...

true - indep. Schrödinger equation

$$H|\psi\rangle = E|\psi\rangle; \quad |\psi\rangle \in \mathcal{H}$$

in particular: lowest eigenvalue  $E_0$  and

corresponding eigenvector  $|\psi_0\rangle$ :

ground state  $|\psi_0\rangle$ , ground state energy  $E_0$

- describes system at sufficiently low temperatures,

Canonical State

$$Z = \frac{e^{-\beta H}}{Z}; \quad Z = \text{tr}(e^{-\beta H})$$

$$\beta = \frac{1}{kT}$$

significantly more complex than  $|\psi_0\rangle$ :

$2^N \times 2^N$  - matrix,

For  $T$  small enough:  $Z \approx |\psi_0\rangle\langle\psi_0|$ .

Key questions to ask about system

(e.g. for ground or Canonical State):

What type of order (phase) does system exhibit?

- long-range magnetic order

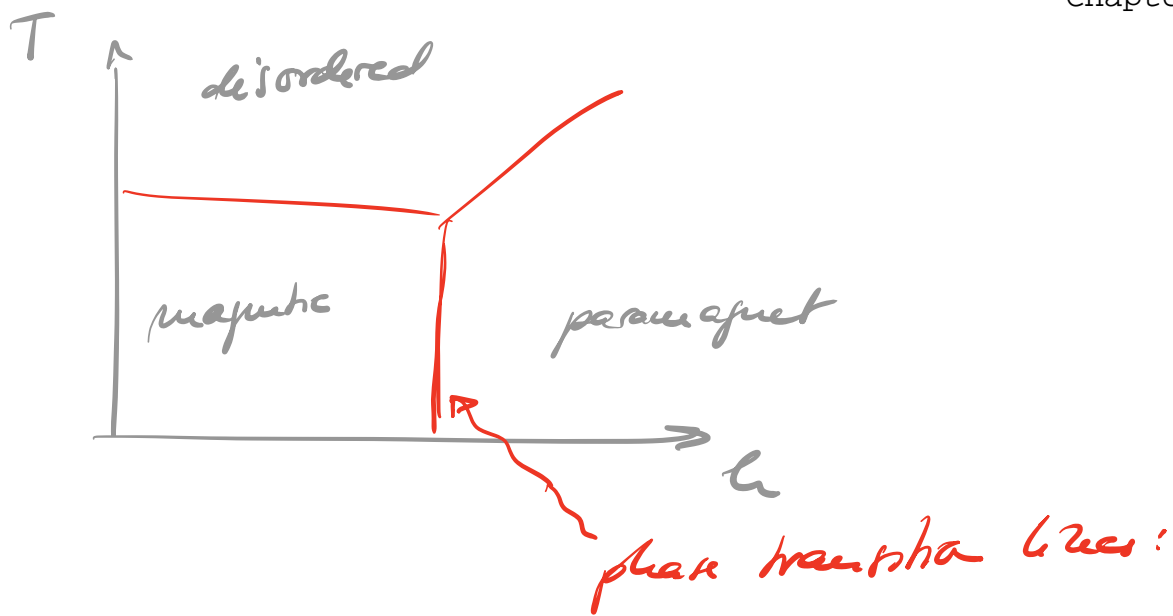
- no magnetic order

- other types of order?!

... as a function of  $T$ , or of some parameter  $n$

$H$ , such as different couplings, a magnetic field

$$H' = H - h \cdot \sum_i S_i^z, \quad \text{or} \quad H' = H - \sum_i \vec{h}_i \cdot \vec{S}_i, \dots$$



Where are the phase transitions?

What properties do they have?

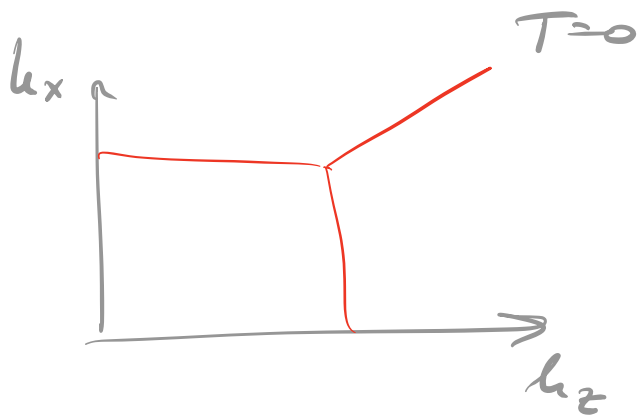
Focus: Quantum Matter - materials where quantum effects play an essential role.

⇒ This is more prominent at low  $T$   
 ( $kT \ll$  energy scales of  $H$  (cf. lat  $\hbar$ ))

(Why? → cf. lat  $\hbar$ : at large  $T$ , quantum correlations - entanglement - vanish.)

⇒ Special interest in physics at  $T=0$ , i.e. ground state properties & phase diagram.





"quantum phases"

"quantum phase transitions"

(important point: Are properties at  $T=0$  stable against small  $T>0$ ?  $\rightarrow$  later!)

What properties are we interested in?

• magnetic order:

e.g. average magnetization

$$\vec{m} = \frac{1}{N} \sum_i \langle \vec{S}_i \rangle = \begin{cases} = 0 \\ \neq 0 \end{cases} \quad ?$$

$\uparrow$  ferromagnetic

or, more general,

$$\vec{m}(\mathbf{k}) = \frac{1}{N} \sum_j e^{i\mathbf{k}\cdot\mathbf{r}_j} \langle \vec{S}_j \rangle = ?$$

e.g. for 2D,  $\mathbf{k} = (\bar{u}, \bar{u})$ : "staggered magnetization",

detects antiferromagnetic order.

## • correlations between spins

\*  $\langle S_i^\alpha \cdot S_j^\beta \rangle$  - for transl. invariant systems,  
this only depends on  $i-j$ ,  
or (if we also have reflection sym.) on  $|i-j|$ .

\* average  $\frac{1}{N} \sum_{ij} \langle S_i^\alpha S_j^\beta \rangle$   $\left( \begin{array}{l} = 0(1) \text{ if correlations} \\ \text{decay exponentially,} \\ 0(N) \text{ with long-r. order} \end{array} \right)$

\* "structure factor"  $S(k) = e^{ik(i-j)} \langle S_i^\alpha S_j^\beta \rangle$

→ encodes information about magnetic order

→  $S(k)$  can be measured with elastic  
neutron scattering

→ behavior of correlations, e.g.

$$\langle S_i^\alpha S_j^\alpha \rangle \sim e^{-|i-j|/\xi}$$

gives correlation length  $\xi$ , which diverges

at phase trans. & gives extra info. about  
type of transition.

- ground state energy  $E_0$

By itself meaningless, but derivatives with respect to parameters (fields, ...) encode information

(cf. free energy  $F = -kT \ln Z$ )  
 $\downarrow$   
 $= e^{-\beta H}$

e.g.:  $H' = H + \lambda V$ , e.g.  $V = \sum S_i^z$ :

$$\left. \frac{dE_0(H')}{d\lambda} \right|_{\lambda=0} = \left. \frac{d}{d\lambda} \left( \langle \psi_0(\lambda) | H + \lambda V | \psi_0(\lambda) \rangle \right) \right|_{\lambda=0}$$

$$= \langle \psi_0(0) | V | \psi_0(0) \rangle$$

(other terms vanish as  $\frac{d|\psi_0\rangle}{d\lambda}$  must

be orthogonal to  $|\psi_0\rangle$  due to normalization)

• Finally, we might also be interested in other questions

• time evolution, e.g. after change of

$H$  ("quench"), or kipping a spin  
 can be meas. w/m-  
 elastic neutron scattering

- excited states:

$$H|\psi_{k,E}\rangle = E_k |\psi_{k,E}\rangle$$

with momentum  $T|\psi_{k,E}\rangle = e^{ik} |\psi_{k,E}\rangle$   
 }  
 translation operator

- effects of disorder on  $H$
- properties of thermal states
- ... and much more!

For the signature, key questions will be:

- what is the ground state
- what are its properties

This will also form the basis for many of the other questions.

## d) The spectral gap

What characterizes a phase transition?

- Divergence of correlation length
- discontinuity of derivatives of certain quantities.

- ...

Phase transition: Small change in parameters can give rise to large (small) change in physical properties - the system is unstable.

Inside a phase: System should only react weakly to small perturbations, i.e. the properties and thus the system are stable against perturbations.

How can we characterize (in-)stability to small perturbations  $H \rightarrow H' = H + \epsilon V$  in a simple way?

## Perturbation theory:

$H$ : ground state  $|\psi\rangle$  w/ energy  $E_0$ ,

ex. states  $|\phi_i\rangle$  w/ energy  $E_i$  (sorted:  $E_i \leq E_{i+1}$ )

$H'$ : ground state  $|\psi'\rangle$

$$|\psi'\rangle = - \underbrace{\varepsilon \sum_i \frac{|\phi_i\rangle \langle \phi_i | V | \psi \rangle}{E_i - E_0}}_{\text{change in state!}} + |\psi\rangle + \dots$$

$$\| |\psi'\rangle - |\psi\rangle \| \leq \varepsilon \cdot \sum_i \frac{\| |\phi_i\rangle \langle \phi_i | V | \psi \rangle \|}{\underbrace{E_i - E_0}_{\geq E_1 - E_0 =: \Delta}} + O(\varepsilon^2)$$

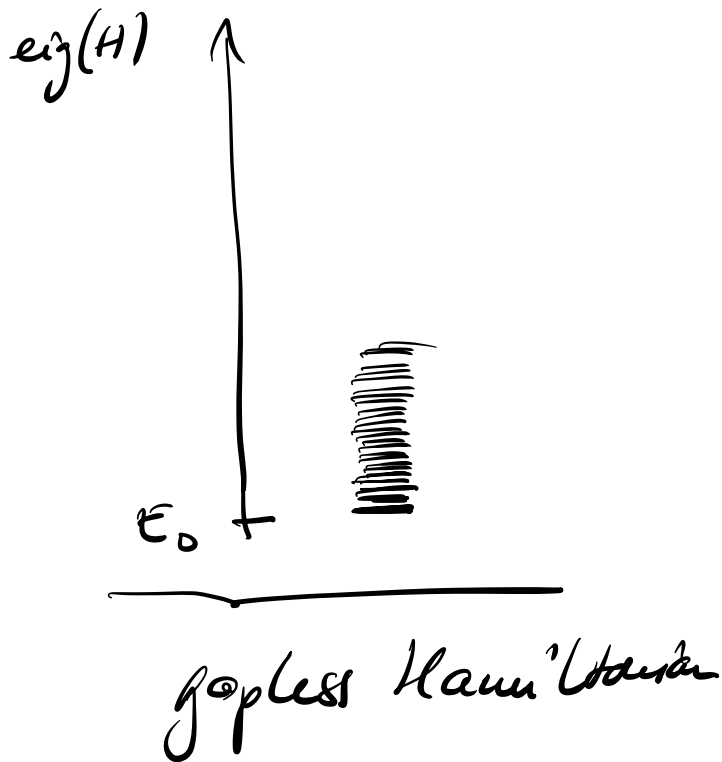
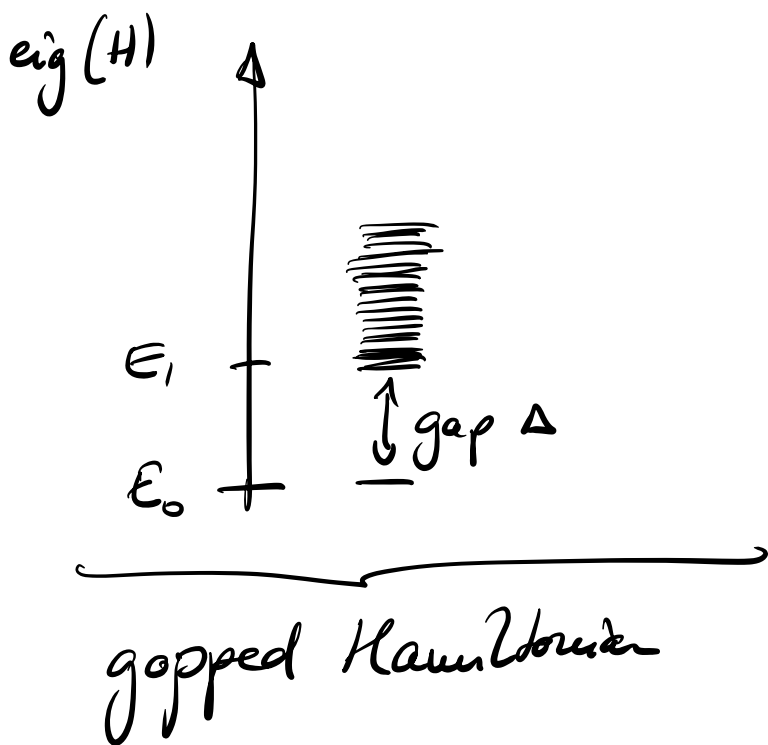
$$\leq \frac{\varepsilon}{\Delta} \cdot \text{const.} \quad \curvearrowright \text{depends on } V!$$

( & higher orders scale with  $\left(\frac{\varepsilon}{\Delta}\right)^k$  ! )

$\Rightarrow$  If the "energy gap" (or: "spectral gap",

or "gap" of  $H$  is sufficiently large, then

$$\frac{\epsilon}{\Delta} \ll 1 \text{ for } \epsilon \ll 1.$$





Chapter I, pg 29

Definition: We call a Hamiltonian (set, a family of Hamiltonians)

$$H = \sum_{i=1}^N h_i$$

on a lattice of size  $N$  gapped if the gap  $E_1(N) - E_0(N) = \Delta(N)$  on a lattice of size  $N$  is lower bounded:

$$\Delta(N) \geq \Delta > 0$$

(typ,  $\Delta(N) \rightarrow \Delta$ ),

We call  $\Delta$  the gap (or energy gap, spectral gap) of  $H$ .

This can also be extended to systems with  $k$  degenerate (or almost degenerate, as  $N \rightarrow \infty$ ) ground states; then,  $\Delta(N) = E_{k+1}(N) - E_k(N)$ .

Gapless (or critical) systems are those where  $\Delta(N) \rightarrow 0$  (often,  $\Delta(N) \sim \frac{1}{\text{poly}(N)}$ ).

We can define (gapped) quantum phases as regions in parameter space where  $H$  is gapped, and the boundaries (transitions) between them as the lines where  $H$  is gapless.

Intuition - cf. above: A gap ensures stability of the phase, as the projector  $(\frac{\epsilon}{\Delta})^k$  in the perturbation series vanishes.

But this is not rigorous, since hyp.  $V$  is extensive (e.g.:  $H' = H + \epsilon \underbrace{\sum \sigma_i^z}_{\equiv V}$ ),

and thus  $\|V|\psi\rangle\| \propto N$ . Thus, higher order terms can in fact get larger (as the bounds scale as  $(\frac{\epsilon}{\Delta})^k N^k!$ )

Should still be true if the terms in  $V$  don't "conspire".

Proofs of such stability possible in certain cases

under some additional reasonable assumptions:

Then, one can show that

$$H'(\epsilon) = H + \epsilon \sum_i V_i$$

is still gapped for small enough  $\epsilon$ , and then, the ground states of  $H$  and  $H'(\epsilon)$  only differ inside a "light cone" whose size depends on  $\epsilon$  and  $\Delta$  (up to small corrections):

Thus, the properties of the ground state do not change abruptly, and in particular, no long-range correlation can appear (or disappear).

(Further reading:

<https://arxiv.org/abs/1001.0344>

for the stability of the gap, and

<https://arxiv.org/abs/cond-mat/0503554>

for the consequence that the state only changes inside a "light cone".)

A further consequence of a gap is that for low temperature,

$$p(T) = \frac{e^{-\beta H}}{\text{tr}(e^{-\beta H})} \approx |\psi_0\rangle\langle\psi_0|,$$

with  $|\psi_0\rangle$  the ground state (this requires an extra reasonable assumption on the density of states) - i.e., we don't need to cool to  $T=0$  to be (effectively) in the ground state.

### e) Summary: Setup & Question

- Quantum spin system  $\mathcal{H} = (\mathbb{C}^d)^{\otimes N}$ .
- Local Hamiltonian  $H = \sum h_i$ .
- Determine properties of ground state & spectral properties of  $H$ .

Q: How can we deal with the exp. dimension  $d^N$  of the underlying Hilbert space  $\mathcal{H}$ ?

Observation:  $H = \sum_{i=1}^N h_i$  specified by  $O(N)$  parameters &

we care about ground state  $\Rightarrow$  only a small fraction of states  $n$  is actually relevant!

What singles out the relevant states?

$\Rightarrow$  The structure of the  $g$ , correlations — entanglement!