

## 1. The circuit model

### a) Classical computation

Use of classical computers (abstractly):

Solve problems  $\equiv$  compute functions

$$f: \{0,1\}^n \rightarrow \{0,1\}^m$$

$$\underline{x} = (x_1, \dots, x_n) \mapsto f(x_1, \dots, x_n)$$

The function  $f$  depends on the problem we want to solve,  $\underline{x}$  encodes the instance of the problem.

E.g.: Problem = multiplication:  $(a, b) \mapsto a \cdot b$

$$\underline{x} = \left( \underset{\substack{\uparrow \\ \text{encoded in binary}}}{x^1}, \underset{\substack{\nearrow \\ \text{encoded in binary}}}{x^2} \right) \mapsto f(\underline{x}) = \underline{x}^1 \cdot \underline{x}^2$$

Problem = Factorization:

$\underline{x}$ : integer;  $f(\underline{x})$ : list of prime factors  
(suitably encoded)

More precisely:

Each problem is encoded by a family of functions  $f \equiv f^{(u)}: \{0,1\}^n \rightarrow \{0,1\}^m$ , with

$m = \text{poly}(n)$ ,  $n \in \mathbb{N}$  - one for each input size.

i.e.:  $m$  grows at most polynomially with  $n$   
(technically,  $\exists \alpha > 0$  s.t.  $\frac{m}{n^\alpha} \rightarrow 0$ ).

(Technical point: It must be possible to "construct the functions  $f^{(n)}$  systematically and efficiently", see later!)

Which ingredients do we need to compute a general function  $f$ ?

$$(i) f: \{0,1\}^n \rightarrow \{0,1\}^m$$

$$f(\underline{x}) = (f_1(\underline{x}), f_2(\underline{x}), \dots, f_m(\underline{x}))$$

where  $f_k(\underline{x}) : \{0,1\}^n \rightarrow \{0,1\}$

$\Rightarrow$  can restrict analysis to boolean functions

$$f: \{0,1\}^n \rightarrow \{0,1\}.$$

(ii) Define  $L = \{y \mid f(y) = 1\} = \{y^1, y^2, \dots, y^k\}$ .

Define  $\delta_y(\underline{x}) = \begin{cases} 0 & ; \quad \underline{x} \neq y \\ 1 & ; \quad \underline{x} = y \end{cases} \leftarrow \text{bitwise equality!}$

Then,  $f(\underline{x}) = \delta_{y^1}(\underline{x}) \vee \delta_{y^2}(\underline{x}) \vee \dots \vee \delta_{y^k}(\underline{x})$

" $\vee$ ": logical "or":

$0 \vee 0 = 0$
$0 \vee 1 = 1$
$1 \vee 0 = 1$
$1 \vee 1 = 1$

( $0 \equiv$  "false",  
 $1 \equiv$  "true")

" $\vee$ " is associative:

$$a \vee b \vee c := (a \vee b) \vee c = a \vee (b \vee c)$$

and commutative:  $a \vee b = b \vee a$ .

(iii) Define bizarre  $\delta$ :

$$\delta_y(x) = \begin{cases} 0 & : y \neq x \\ 1 & : y = x \end{cases}$$

Then,

$$\underline{\delta}_y(\underline{x}) = \delta_{y_1}(x_1) \wedge \delta_{y_2}(x_2) \wedge \dots \wedge \delta_{y_n}(x_n)$$

" $\wedge$ ": logical "and":  $0 \wedge 0 = 0$

$$0 \wedge 1 = 0$$

(0  $\equiv$  "false",

$$1 \wedge 0 = 0$$

1  $\equiv$  "true")

$$1 \wedge 1 = 1$$

" $\wedge$ " is associative & commutative;

" $\wedge$ " & " $\vee$ " are distributive:

$$(a \vee b) \wedge c = (a \wedge c) \vee (b \wedge c).$$

(In essence, same rules as  $\wedge \rightarrow \cdot$ ,  $\vee \rightarrow +$ )

(iv)

$$\delta_y(x) = \begin{cases} x & \text{if } y = 1 \\ \neg x & \text{if } y = 0 \end{cases}$$

Logical "not":

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$$\neg 0 = 1$$

Combine (i) - (iv):

Any  $f(x)$  can be constructed from 4 ingredients:

"and", "or", "not" gates,  
plus a "copy" gate  $x \mapsto (x, x)$ .

This is called a universal gate set.

(Note: In fact, already either  $\neg(x \wedge y)$  "nand",  
or  $\neg(x \vee y)$  "nor" are universal, together  
with "copy".)

This gives rise to the

Circuit model of computation:

The functions  $f \equiv f^{(k)}$  which we can compute  
are constructed by concatenating gates from a

simple universal gate set (e.g. and/or/not/copy)  
sequentially in time (i.e., there are no loops  
 allowed). This gives rise to a circuit for  $f^{(n)}$ .

The difficulty ("computational hardness") of  
 a problem in the circuit model is measured by  
 the number  $K(n)$  of elementary gates needed  
 to compute  $f^{(n)}$  ( $\hat{=}$  # of time steps).

We often distinguish two qualitatively different regimes:

$K(n) \sim \text{poly}(n)$  : efficiently solvable (class P)  
easy problem

$K(n) \gg \text{poly}(n)$  - e.g.  $K(n) \sim \exp(n^2)$ :  
hard problem

(Technical note: We must suppose that the circuits

used for  $f^{(n)}$  are uniform, i.e. they can be generated efficiently - e.g. by a simple  $n$ -independent compute program. (More formally,  $f^{(n)}$  should be generated by a Turing machine.)

Example:

$f = \text{Multiplication}$ :

Efficient:

$$\begin{array}{r}
 \begin{array}{c} e \\ \hline 10110 \end{array} \times \begin{array}{c} e' \\ \hline 10011 \end{array} \\
 \hline
 \begin{array}{r}
 10110 \\
 10110 \\
 10110 \\
 \hline
 110100010
 \end{array}
 \end{array}$$

}  $e'$

$e \times e'$  additions:

$O(ee') \sim O(n^2)$  gates.

$f = \text{Factorization}$ .

E.g.: sieve of Eratosthenes:

$\{0, 1\}^n \rightarrow$  try about  $\sqrt{2^n} \sim 2^{n/2}$  cases

$\Rightarrow$  hard/exp. scaling.

No efficient algorithm known!

Is a typical problem easy or hard?

$$f: \{0,1\}^n \rightarrow \{0,1\}$$

# of different  $f$ :  $2^{\overbrace{(2^n)}^{\text{\# of inputs}}}$   
 $f(x) = \{0,1\}$  for each input

But: there are only  $c^{\text{poly}(n)}$  circuits of length  $\text{poly}(n)$ !  
 $\uparrow$   
 # of elem. gates

$\Rightarrow$  As  $n$  gets large, most  $f$  cannot be computed efficiently (i.e. with  $\text{poly}(n)$  operations).

Does the computational power depend on the gate set?

NO! By definition, any universal gate set can simulate any other gate set with constant overhead!

Remark: There is a wide range of alternative models of computation, some more and some less realistic:

- CPU
- parallel computers
- "Turing machines" - tape + read/write head
- cellular automata
- ... and lots of exotic models ...

But: All known "reasonable" models of computation can simulate each other with poly( $n$ ) overhead  $\Rightarrow$  same computational power (in the sense above).

Church-Turing Thesis: All reasonable models of computation have the same computational power.

## b) Reversible circuits

For quantum computing - coming soon - we will use the circuit model.

Gates will be replaced by unitaries.

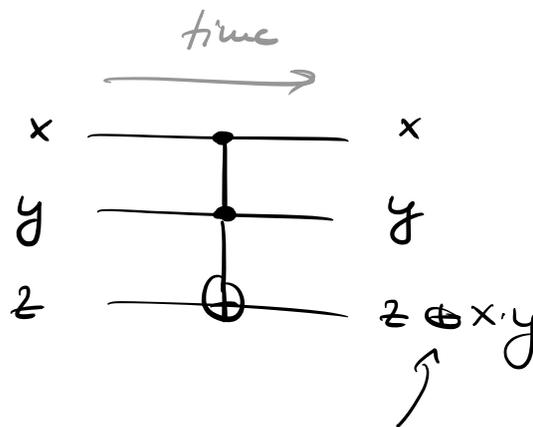
But: Unitaries are reversible,

while classical gates (and/or) are irreversible.

Could such a model even do classical computations - i.e., can we find a universal gate set with only reversible gates?

YES! - Classical computation can be made reversible:

Toffoli gate:



also assoc.,  
comm.,  
& distr. w/  $\wedge$ .  
(like "v")

"XOR" = addition mod 2:  
 $0 \oplus 0 = 0$   
 $0 \oplus 1 = 1$   
 $1 \oplus 0 = 1$   
 $1 \oplus 1 = 0$

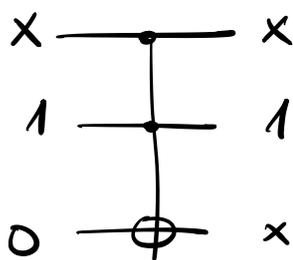
→ Toffoli gate is reversible

(it is its own inverse, since  $(z \oplus x \cdot y) \oplus x \cdot y = z$ )

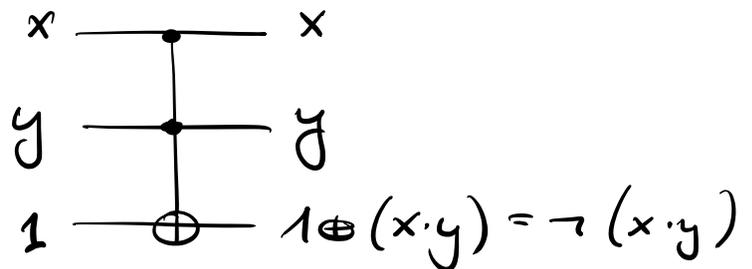
→ Toffoli gate can simulate and/or write/copy,

by using ancillas in state "0" or "1":

E.g.:



"copy"



"Nand"

⇒ gives reversible universal gate set  
(but requires ancillas)

This can be used to compute any  $f(x)$  reversibly,

using ancillas, with essentially the same # of gates:

$$f^{\#}(x, y) \longmapsto (x, f(x) \oplus y)$$

↖ bitwise XOR.

(Idea: Replace any gate by a reversible gate using ancillas. Then XOR the result into the  $y$  register. Finally, run the circuit backwards to "uncompute" the ancillas. Ancilla count can be optimized for  $\rightarrow$  cf. Preskill's notes.)

$\Rightarrow$  Everything can be computed reversibly.

BUT: 3-bit gate is required! ( $\rightarrow$  Homework)

### c) Quantum Circuits

Not common model for quantum computation:

The circuit model:

- Quantum system consisting of qubits:  
tensor product structure.
- Universal gate set  $S = \{U_1, \dots, U_k\}$  of few-qubit gates (typ. 1- and 2-qubit gates)  $U_j$ .  
(See later for definition of "universal"!)
- Construct circuits by sequentially applying

elements of  $S$  to a subset of qubits:

$$|\psi_{out}\rangle = V_T V_{T-1} \dots V_1 |\psi_{in}\rangle$$

$U_j$  acting on subset of qubits

- Initial state:

$$|\psi_{in}\rangle = |x_1\rangle |x_2\rangle \dots |x_n\rangle \overbrace{|0\rangle |0\rangle \dots |0\rangle}^e$$

$$= |\underline{x}\rangle |\underline{0}\rangle$$

encodes instance of problem

ancillas

- alternatively, we can also have

$$|\psi_{in}\rangle = |\underline{0}\rangle \equiv |0\rangle^{\otimes e}$$

and encode the instance in the circuit.

- At the end of the computation, measure the final state  $|\psi_{out}\rangle$  in the computational basis  $\{|0\rangle, |1\rangle\}$

→ outcome  $|y\rangle$  w/ prob.  $p(y) = |\langle y | \psi_{out} \rangle|^2$

- Notes:
- This is a probabilistic scheme — it outputs  $y$  w/ some prob.  $p(y)$ . In principle, we should compare to class. probabilistic schemes — see later.
  - We need not measure all qubits —  
 not measuring = tracing = measuring and ignoring outcome
  - PDVTs don't help — we can simulate them ( $\rightarrow$  Naïve), similarly, CP maps don't help — we can simulate them (Shorspring + trace out).
  - Measurements at earlier times don't help: Can always postpone them (they commute). If gate at later time would depend on meas. outcome: This dependence can be realized inside the circuit w/ "controlled gates"  
 (cf. later + homework)

What gate set should we choose?

- There is a continuum of gates — situations much more rich.
- Different notions of universality exist:
  - exact universality: Any  $n$ -qubit gate can be realized exactly.
    - Requires a continuous family of universal gates (continuity argument!)
  - approximate universality: Any  $n$ -qubit gate can be approximated well by gate set (finite gate set sufficient;
    - Solovay-Kitaev-Theorem:  $\epsilon$ -approximation (in  $\|\cdot\|_\infty$ -Norm) of 1-qubit gate requires  $O(\text{poly}(\log(1/\epsilon)))$  gates from a suitable finite set.)
- 1- and - 2-qubit gates alone are universal! (cf. classical: 3-bit gates needed!!)

- For approximate universality, almost any tuple of two-qubit gates will do!   
↑  
w/ prob. 1.
- More univ. sets: later!

## d) Universal gate set

Our exact universal gate set:

(i) 1-qubit rotations about X & Z axis:

$$R_X(\phi) = e^{-iX\phi/2}; \quad X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad X^2 = I$$

$$R_Z(\phi) = e^{-iZ\phi/2}; \quad Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad Z^2 = I.$$

For  $\pi^2 = I$ :  $e^{-i\pi\phi/2} = \cos\phi/2 I - i\sin\phi/2 \pi$

$$\Rightarrow R_X(\phi) = \begin{pmatrix} \cos\phi/2 & -i\sin\phi/2 \\ -i\sin\phi/2 & \cos\phi/2 \end{pmatrix}$$

$$R_Z(\phi) = \begin{pmatrix} e^{-i\phi/2} & 0 \\ 0 & e^{i\phi/2} \end{pmatrix}$$

Can be understood as rotations on Bloch sphere about  $X/2$  axis by angle  $\phi$  (i.e., rotations in  $SO(3) \cong SU(2)/\mathbb{Z}_2$ ).

Together,  $R_x$  and  $R_z$  generate all rotations in  $SO(3)$  (Euler angles!), and thus in  $SU(2)$  up to a phase.

Lemma: For any  $U \in SU(2)$ ,

$$U = e^{i\phi} R_x(\alpha) R_z(\beta) R_x(\gamma) \text{ for some } \phi, \alpha, \beta, \gamma.$$

Proof: Homework.

(ii) one two qubit gate (almost all would do!).

Typically, we use "controlled-NOT" = "CNOT":

$$\text{CNOT} = \begin{array}{ccc} x & \text{---} & x \\ & \bullet & \\ & | & \\ y & \oplus & x \oplus y \end{array} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

CNOT flips  $y$  iff  $x=1$ : classical gate!

Can prove: This gate set can create any  $n$ -qubit

$U$  exactly (but of course not efficiently -  $U$  has  $\sim (2^n)^2 = 4^n$  real parameters).

Overview of a number of important gates & identities

(Proof/check: Homework!)

Hadamard gate:  $H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$

$$H = H^\dagger; \quad H^2 = I.$$

$$H R_x(\phi) H = R_z(\phi)$$

$$H R_z(\phi) H = R_x(\phi)$$

Graphical "circuit" notation:

$$\boxed{H} - \boxed{X} - \boxed{H} = \boxed{Z}$$

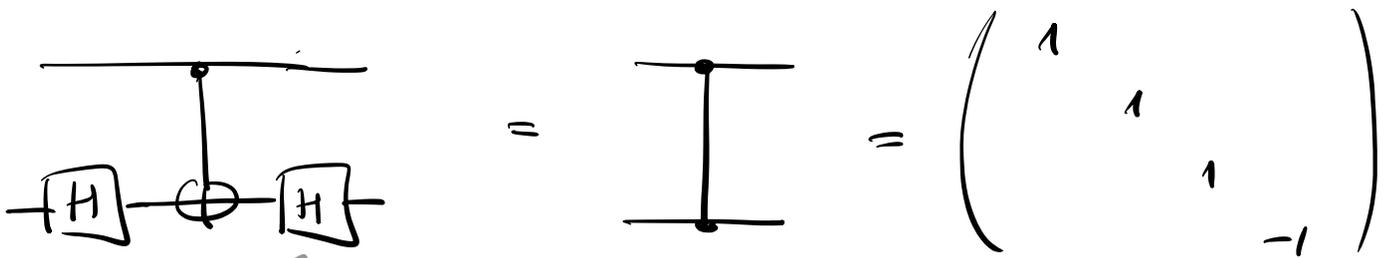
Important:

Matrix notation: blue goes right to left

Circuit notation: time goes left to right:

i.e.:  $|\psi_{in}\rangle \xrightarrow{\text{time}} |\psi_{out}\rangle = U_3 U_2 U_1 |\psi_{in}\rangle$

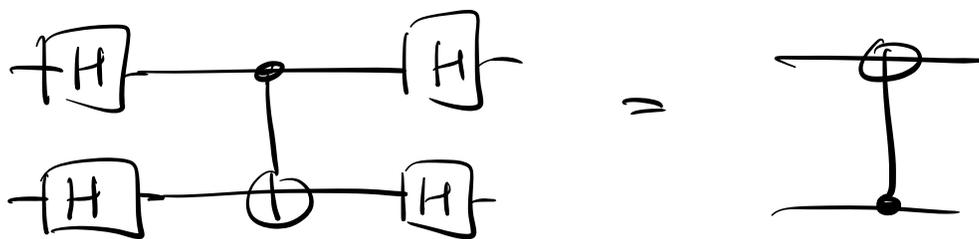
$\xleftarrow{\text{time}}$



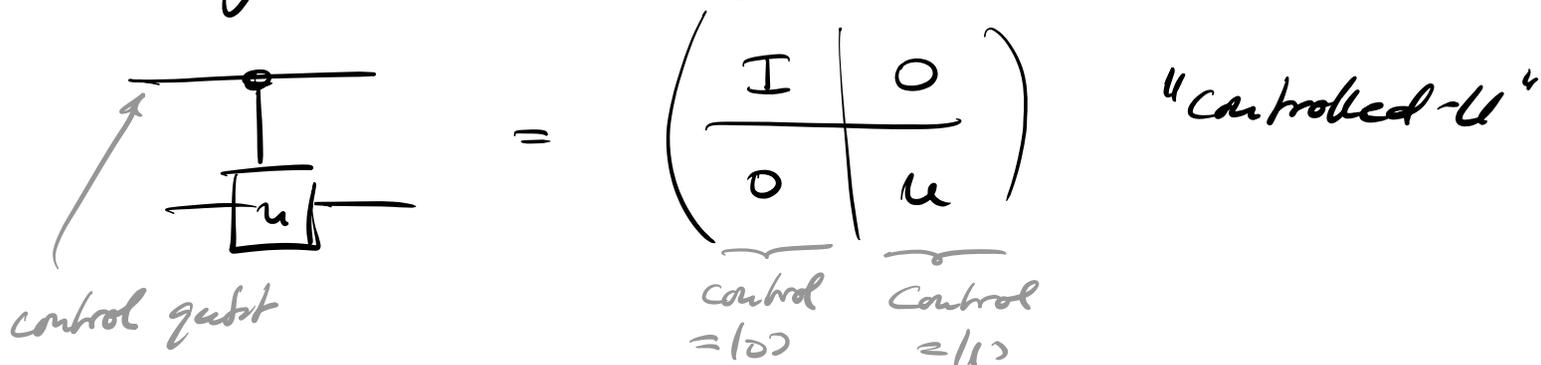
only applied to 2nd qubit, i.e:

$\text{---} \text{---} \text{---} \equiv I \otimes H.$

"Controlled-Z"  
 "Controlled-Phase"  
 CZ, CPHASE



Generally: For a unitary  $U \in SU(2)$ ,

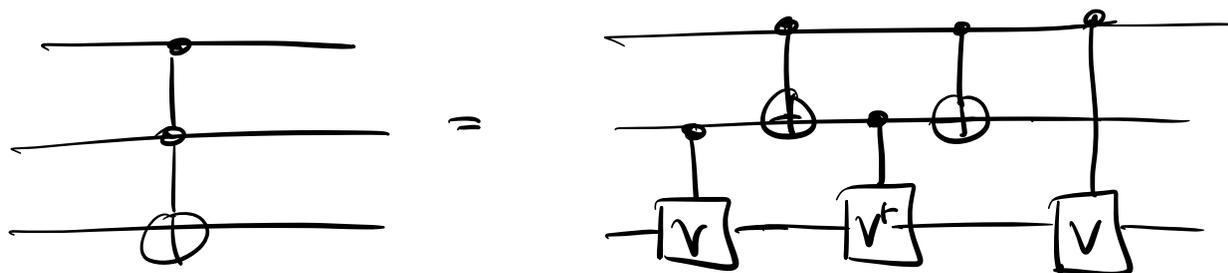


Can be implemented w/ 2 CNOT ( $\rightarrow$  HW!)

Also for  $U \in SU(2^n)$ :



Circuit for Toffoli:

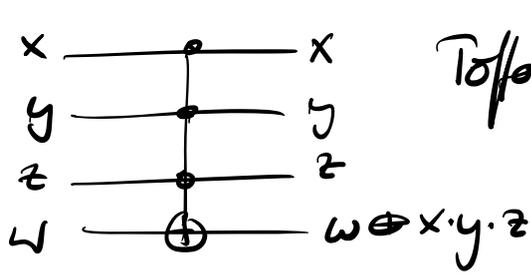


$$\text{with } V = \frac{1-i}{2} (I + iX)$$

U to controlled-U:

Given circuit for  $U$  - in particular, a classical reversible circuit - we can also build controlled-U:

Just replace every gate by its controlled-~~verse~~,  
in particular Toffoli by



Toffoli w/ 3 controls can be built  
from normal Toffoli  
(since class. universal!)

Finally, some further approx. universal gate sets:

- CNOT + 2 random 1-qubit gates
- CNOT + H +  $T = R_z(\pi/4)$  (" $\pi/8$  gate")