

## Quantum framework

- \* General language used in the description of quantum systems.
- \* Helps you to understand the language, but does not tell how to actually model realistic systems.

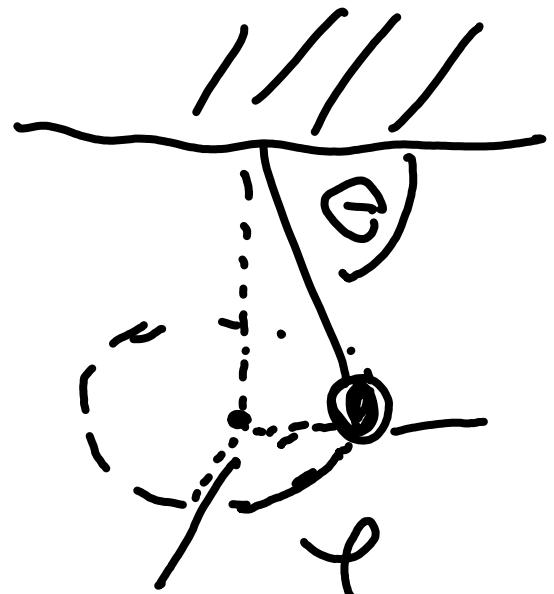
## Components for framework

I.e., What do we need to describe?

2 examples: classical mechanics and probability theory.

Classical mechanics: Want to understand: under the application of forces, how do things move.

### #1 Degrees of freedom.



Pendulum fixed to ceiling  
Can be described by 2 angles,  $\theta$  and  $\varphi$ .

#2: State: to describe the system,  
you need  $(\varphi, \theta)$ .

#3: Time evolution: Want to understand how  $(\varphi(t), \theta(t))$  looks like.

Method: forces  $\rightarrow$  2<sup>nd</sup> order diff eq  $\rightarrow$   
solve  $\rightarrow (\varphi(t), \theta(t))$ .

#4: Solve actual question: Eg. how  
high is the pendulum @ time t

$$h(t) = [1 - \sin(\theta(t))] \cdot \text{length}.$$

(This is a bit forced, but the pt is that you need to do sth w/ the state to get info out)



# Quantum mechanics

What we learn: language for describing discrete quantum systems.

Discrete: energy levels of atoms, polarization of photons, etc.

## Components:

0) Degrees of freedom - what configurations can the system be in

1) State - describing the config. at a given time

2) Time evolution - how the state changes in time

3) Measurement - gaining classical info from quantum system.

Meas. changes the state, so describing the post-measurement state is also important.

## The rules

0] DoF - to every quantum system we assign a Hilbert space  $\mathcal{H}$ . If the system can be in  $d$  different configurations, then  $\mathcal{H} = \mathbb{C}^d$ .

To composite systems we assign the tensor product of the two Hilbert spaces describing the two components.

1] State of the system: equivalence classes of normalized vectors:  
 $| \psi \rangle \in \mathcal{H}, \| \psi \| = 1, |\psi\rangle \equiv e^{i\varphi} |\psi\rangle, \varphi \in \mathbb{R}$ .

2] Time evolution: described by a unitary operator.

$$|\psi(t)\rangle = U(t) \cdot |\psi(0)\rangle.$$

- 3) Measurements : have to describe
- possible outcomes (classical info)
  - measurement is inherently probabilistic, so the probability w/ which each outcome occurs.
  - The state after the measurement. This depends on the outcome.

A measurement w/ n outcomes  $1, 2, \dots, n$  is given by operators  $\{M_i\}_{i=1}^n$  s.t.

$$\sum_i M_i + M_i = 1.$$

The outcome  $i$  happens w/ probability

$$p_i = \|M_i|\psi\rangle\|^2$$

The state after the measurement is

$$\frac{M_i|\psi\rangle}{\|M_i|\psi\rangle\|}$$

This general form of meas. is called a POVM = positive operator valued measure (as  $M_i + M_i$  defines such a measure).

## Examples:

Degrees of freedom ( $\Rightarrow$  Hilbert space):

- photon's polarization : H/V  $\rightarrow$  2 DOF,  $\mathcal{H} = \mathbb{C}^2$ .
- atom: ground/excited state  $\rightarrow$  2 DOF,  $\mathcal{H} = \mathbb{C}^2$ .

Sometimes we name the computational basis according to their meaning, e.g.,  $|H\rangle$  and  $|V\rangle$  for photon polarization or  $|\uparrow\rangle$  and  $|\downarrow\rangle$  for spins (i.e., magnets attached to) electrons.

If  $\text{DOF} = 2$  : we call such a system a QUBIT (quantum bit)

Composite systems are tensor products:

Given 2 photons, each can be H/V, thus the two photons together can be in the state  $HH, HV, VH, VV \rightarrow$  this is exactly the basis of the tensor prod. space.

States: normalized vectors in  $\mathcal{H}$ , up to a phase. If  $\mathcal{H} = \mathbb{C}^2$ , then

$$|\psi\rangle = \alpha|0\rangle + \beta|1\rangle \text{ with}$$

$$1 = \langle \psi | \psi \rangle = |\alpha|^2 + |\beta|^2.$$

Let  $\theta \in [0, \pi]$  be such that

$$|\alpha| = \cos \frac{\theta}{2}, \quad |\beta| = \sin \left( \frac{\theta}{2} \right).$$

Then we can write  $\alpha = e^{i\chi} \cos \frac{\theta}{2}$

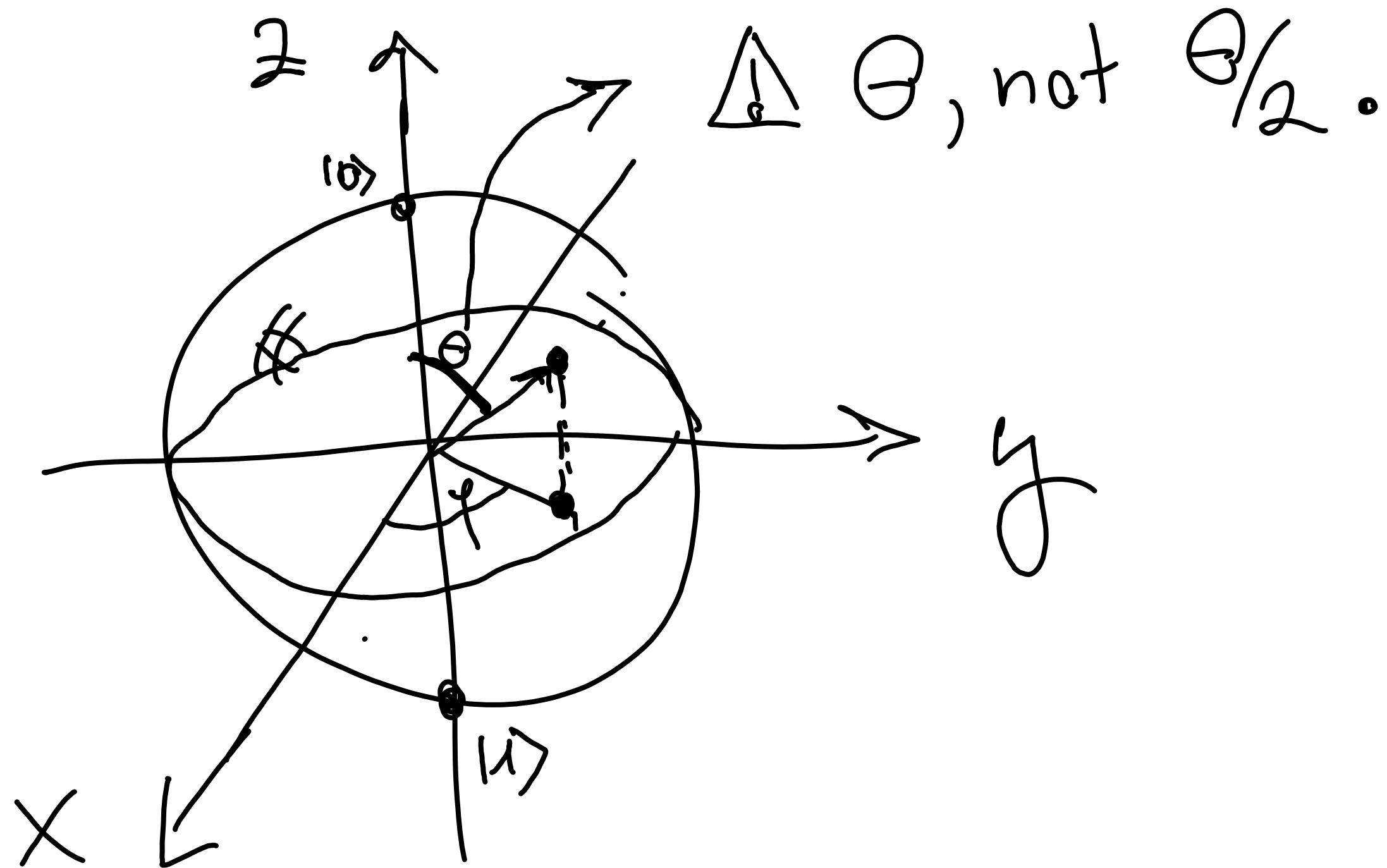
$$\beta = e^{i(\chi+\psi)} \sin \left( \frac{\theta}{2} \right),$$

and thus

$$|\psi\rangle = e^{i\chi} \left( \cos \left( \frac{\theta}{2} \right) |0\rangle + e^{i\psi} \sin \left( \frac{\theta}{2} \right) |1\rangle \right).$$

Here  $\varphi \in [0, 2\pi)$  and  $\theta \in [0, \pi]$ , and the phase  $\chi$  is irrelevant (vectors w/ same  $\varphi, \theta$  but different  $\chi$  represent the same quantum state).

We can depict the states on a sphere:



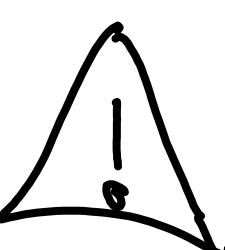
There is a 1-to-1 correspondence b/w pts on the surface of the sphere and quantum states in 2D  $\Rightarrow$  Visualization of QUBITS.

Special points:

- $|z\rangle = (0, c, 1) \Leftrightarrow \theta=0 \Rightarrow |0\rangle \quad \left. \begin{array}{l} \text{eig. vectors} \\ \text{of } \hat{z} \end{array} \right\}$
- $-z = (0, -c, 1) \Leftrightarrow \theta=\pi \Rightarrow |1\rangle$
- $|x\rangle = (1, c, 0) \Leftrightarrow \theta=\frac{\pi}{2}, \varphi=0 \Rightarrow |+\rangle \quad \left. \begin{array}{l} \text{eig. vectors} \\ \text{of } \hat{x} \end{array} \right\}$
- $-x = (-1, 0, c) \Leftrightarrow \theta=\frac{\pi}{2}, \varphi=\pi \Rightarrow |->$

$$\begin{aligned} \cdot \vec{y} = (0, 1, 0) &\leftrightarrow \Theta = \frac{\pi}{2}, \varphi = \frac{\pi}{2} \Rightarrow |0\rangle + i|1\rangle \} \text{ eig.} \\ \cdot -\vec{y} = (0, -1, 0) &\leftrightarrow \Theta = \frac{\pi}{2}, \varphi = -\frac{\pi}{2} \Rightarrow |0\rangle - i|1\rangle \} \text{ vectors of } Y. \end{aligned}$$

Remark Convenient way to get rid of the phase is considering  $\rho = 14X + I$ .

This is a  $d \times d$  mix,  $\text{tr}(\rho) = 14|14\rangle = 1$ . 

If  $|Y\rangle = e^{i\chi}|14\rangle$ ,  $\chi \in \mathbb{R}$ , then  $\langle Y| = e^{-i\chi}\langle 14|$ , and thus  $|Y\rangle\langle Y| = |14\rangle\langle 14|$ .

(HW) Calculate  $|14\rangle\langle 14|$  w/ the previous parametrization. Use trigonometrical identities until only  $\Theta$  appears in the formulas, not  $\frac{\Theta}{2}$ . Expand the result in the Pauli basis. What do you observe?

States in composite system:

$\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$ ,  $|N\rangle \in \mathcal{H}_1 \otimes \mathcal{H}_2$  can either have the form  $|N\rangle = |N_1\rangle \otimes |N_2\rangle$ , or it is not of this form (instead, a linear combination of such vectors).

If  $|N\rangle = |N_1\rangle \otimes |N_2\rangle$ : we call this a product state.

If  $|N\rangle \neq |N_1\rangle \otimes |N_2\rangle$ : we call such a state ENTANGLED.

Time evolution: w/ unitaries.

We consider discrete time steps:

Unitaries acting one after the other.

We also call them gates.

Example gates: X, Y, Z.

$$X : |0\rangle \mapsto |1\rangle$$
$$|1\rangle \mapsto |0\rangle$$

$$\text{To eigenstates : } |+\rangle = \frac{|0\rangle + |1\rangle}{\sqrt{2}}$$

$\wedge$   
normalized

$$|-\rangle = \frac{|0\rangle - |1\rangle}{\sqrt{2}}$$

change as  $X|+\rangle = |+\rangle$

$$X|-\rangle = -|-\rangle = |-\rangle \quad \triangle$$

We can only do  $-|+\rangle = |+\rangle$  when  $|+\rangle$  describes a state. In calculations usually we write lin. comb. of vectors, there keep the sign!

Note:  $X^2 = \mathbb{I}$ , so  $\mathbb{X}$  can be obtained from  $X$  &  $\mathbb{I}$  only.

One more important gate: Hadamard gate,

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$H$  is self-adjoint, sc  $H^2 = HH^\dagger = \mathbb{I}$ .

$$H|0\rangle = |+\rangle, \quad H|+\rangle = |0\rangle$$

$$H|1\rangle = |-\rangle, \quad H|-\rangle = |1\rangle.$$

It changes between eigenstates of  $X$  and  $\mathbb{I}$ . In other words,

$$X = H \otimes H.$$

Composite systems : tensor prod. of unitaries is unitary. E.g.  $H^{\otimes n}$  is unitary. It acts as :  $H^{\otimes n}|0\rangle^{\otimes n} = |+\rangle^{\otimes n} = \sum_{x \in \{0,1\}^n} |x\rangle$ ,

$\uparrow$   
def. of tensor prod.  
of matrices

Not every unitary is tensor product. Eg.

$$\begin{aligned} CNOT &= |0\rangle\langle 0| \otimes I + |1\rangle\langle 1| \otimes X \\ &= \begin{pmatrix} 1 & 0 \\ 0 & X \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} \end{aligned}$$

is not a tensor product. Such gates can bring product states to entangled states, unlike product gates.

In classical computation, copying is an essential operation.

This is not possible in QM:

Theorem (No-cloning theorem): There is no unitary  $\mathcal{H} \otimes \mathcal{H} \rightarrow \mathcal{H} \otimes \mathcal{H}$  s.t.

$$|0\rangle|4\rangle \mapsto |4\rangle|4\rangle \quad \forall |4\rangle \in \mathcal{H}.$$

Remark 1) is needed as we need unitary,  
can't grow the dimension!

Proof: By contradiction. Assume such a unitary (actually, lin. map) exists.

$$U: |00\rangle \mapsto |00\rangle$$

$$U: |01\rangle \mapsto |11\rangle$$

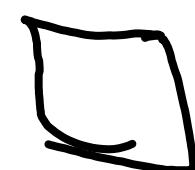
Then by linearity,

$$U: |0+\rangle \mapsto \frac{|00\rangle + |11\rangle}{\sqrt{2}},$$

And by assumption

$$U: |0+\rangle \mapsto |++\rangle = \frac{1}{2}(|00\rangle + |01\rangle + |10\rangle + |11\rangle) \\ \neq \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle).$$

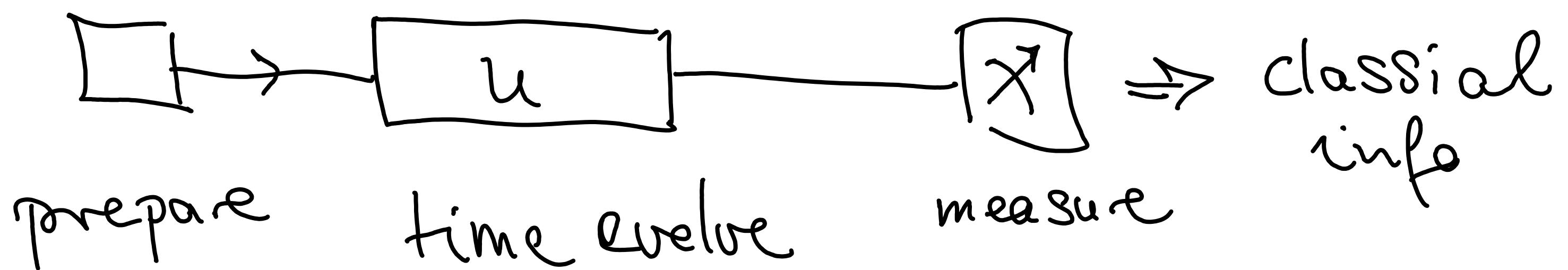
This is a contradiction, and thus there is no such lin. map



## Recap: rules of QM.

- To a quant. system we assign a  $\mathcal{H}$ -space.  
To a system composed of 2 smaller ones we assign the tensor product.
- States are eq. classes of normalized vectors, eg.:  $|N\rangle \cong |J\rangle$  if  $|J|=1$ .
- Time evolution: unitaries
- Measurement:  $\{\Pi_i\}_{i=1}^n$ ,  $\sum_i \Pi_i^\dagger \Pi_i = 1$ ,
  - .  $P_i = \|\Pi_i|N\rangle\|^2$
  - .  $\hat{\pi}_i = \frac{\Pi_i|N\rangle}{\|\Pi_i|N\rangle\|}$

Convenient picture:



## Time evolution:

- (1) No-cloning thm:  $\mathcal{U}: |+\rangle|0\rangle \mapsto |+\rangle|+\rangle + |+\rangle$  not possible. Remark: classical cloning  $|00\rangle \mapsto |00\rangle, |10\rangle \mapsto |11\rangle$  OK.  
 HW: Create such a unitary!
- (2) On a composite system  $\mathcal{H}_A \otimes \mathcal{H}_B$ ,  $\mathcal{U}_A \otimes \mathcal{U}_B$  is unitary if  $\mathcal{U}_A, \mathcal{U}_B$  are. A graph. notation:

$$|\psi_A\rangle \xrightarrow{\boxed{\mathcal{U}_A}} \quad \left. \begin{array}{l} \\ \end{array} \right\} (\mathcal{U}_A \otimes \mathcal{U}_B)(|\psi_A\rangle \otimes |\psi_B\rangle)$$

$$|\psi_B\rangle \xrightarrow{\boxed{\mathcal{U}_B}}$$

We say "A does nothing, B evolves" for

$\mathcal{U}_A = \mathbb{1}$ . Graphically,

$$|\psi_A\rangle \xrightarrow{\quad} \quad \left. \begin{array}{l} \\ \end{array} \right\} (\mathbb{1} \otimes \mathcal{U}_B)(|\psi_A\rangle \otimes |\psi_B\rangle).$$

$$|\psi_B\rangle \xrightarrow{\boxed{\mathcal{U}_B}}$$

- (3) Not every unitary is of this form, e.g.

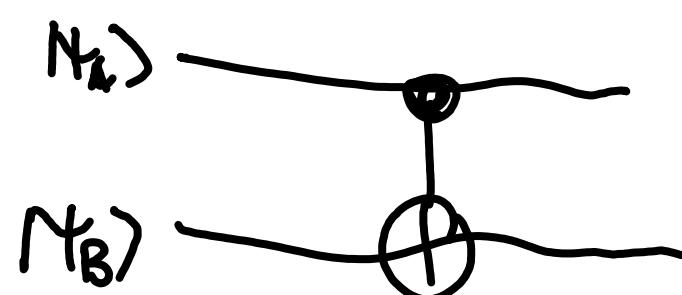
$$\text{CNOT} = |0\rangle\langle 0| \otimes \mathbb{1} + |1\rangle\langle 1| \otimes X.$$

$\rightarrow$  A sees 0  $\Rightarrow$  B does nothing

$\rightarrow$  A sees 1  $\Rightarrow$  B negates

$$\text{CNOT} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

A graph. notation:



## Measurements

- outcomes :  $1, 2, \dots, n$ .
- meas. operators :  $M_1, M_2, \dots, M_n \in \mathcal{B}(\mathcal{H})$  s.t.

$$\sum_i M_i^+ M_i = 1.$$

- Then  $i$  happens w/ proba

$$p_i = \|M_i|\psi\rangle\|^2$$

- And post-meas. state is

$$|\psi_i\rangle = \frac{M_i|\psi\rangle}{\|M_i|\psi\rangle\|}.$$

Remark :  $\sum_i M_i^+ M_i \Rightarrow \sum_i p_i = 1$ .

Proof :  $p_i = \|M_i|\psi\rangle\|^2 = \langle\psi|M_i^+ M_i|\psi\rangle$

$$\begin{aligned} \sum_i p_i &= \sum_i \langle\psi|M_i^+ M_i|\psi\rangle = \langle\psi|\sum_i M_i^+ M_i|\psi\rangle = \\ &= \langle\psi|1|\psi\rangle = \langle\psi|\psi\rangle = 1. \end{aligned}$$

So you can remember the  $\sum_i M_i^+ M_i = 1$  condition.  $\square$

Examples  $H = \mathbb{C}^2$ . Let us consider

$$M_0 = |0\rangle\langle 0|, M_1 = |1\rangle\langle 1|.$$

Then  $M_0^+ M_0 = M_0^2 = M_0$  and  $M_1^+ M_1 = M_1^2 = M_1$ , and

$$M_0^+ M_0 + M_1^+ M_1 = \mathbb{1}.$$

Let's do this measurement on  $|4\rangle = \alpha|0\rangle + \beta|1\rangle$ .

Then the outcome

- 0 appears w/ proba  $\|M_0|4\rangle\|^2 = |\alpha|^2$ .

the post-meas. state is  $\frac{M_0|4\rangle}{\|M_0|4\rangle\|} = |0\rangle$ .

- 1 appears w/ proba  $\|M_1|4\rangle\|^2 = |\beta|^2$ .

the post-meas. state is  $\frac{M_1|4\rangle}{\|M_1|4\rangle\|} = |1\rangle$ .

If  $M_i$  are projectors, we call this a  
projective measurement.

A convenient way to package measurement  
in compact form:

Take a Hermitian operator w/ eigen projectors  $M_0, M_1, \dots, M_n$ , that is,

$$O = \sum_i \lambda_i M_i.$$

Then we say "measure  $O$ " instead of saying measure the PVM  $M_0, M_1, \dots, M_n$ .

Eg. for  $M_0 = |0\rangle\langle 0|$ ,  $M_1 = |1\rangle\langle 1|$

$Z = M_0 - M_1 \rightarrow$  measuring the comp. basis can be also called "measure  $Z$ ".

$\Delta$   $Id = M_0 + M_1$ , but this is not the eigen decomposition, so it does not specify this measurement.  $\Delta$

Eg. #2  $M_0 = |+\rangle\langle +|$ ,  $M_1 = |-\rangle\langle -|$ : here,

$$X = |+\rangle\langle +| - |-\rangle\langle -|,$$

so we call this measurement "measuring  $X$ ".

Self-adjoint operators are also called observables.

Remark: Expectation value:

$$\langle O \rangle_+ := \langle + | O | + \rangle = \sum_i \lambda_i \lambda_i (P_i | + \rangle)$$
$$= \sum_i \lambda_i P_i,$$

so this is the expectation value of the random variable specified by the eigenvalues of  $O$ .

Remark: Consider  $|+\rangle = |0\rangle$ . Measuring  $Z$  leads to result "+1" w/ probability 100%, as it is an eigenstate.

Measuring  $X$  on  $|0\rangle$  leads to +1 w/ probability 50% and to -1 w/ proba 50%.

As  $X$  and  $Z$  does not commute, they do not have a joint eigenbasis  $\Rightarrow$  "can't measure them together".

## Measurement in composite systems :

→ It is still just a set of operators

$$M_i \in B(H_A \otimes H_B) \quad \text{s.t.} \quad \sum_i M_i^+ M_i = \mathbb{1}_{AB} = \mathbb{1}_A \otimes \mathbb{1}_B.$$

→ Special measurement :

"A measures  $\{M_i\}_{i=1}^m$ , B measures  $\{N_j\}_{j=1}^n$ :

This refers to a measurement of the form

$$\{X_{ij} = M_i \otimes N_j \mid i=1..m, j=1..n\}$$

Remember that

$$\begin{aligned} \sum_j (M_i^+ \otimes N_j^+) (M_i \otimes N_j) &= \\ = \sum_j M_i^+ M_i \otimes N_j^+ N_j &= \sum_i M_i^+ M_i \otimes \sum_j N_j^+ N_j = \mathbb{1}, \end{aligned}$$

so these operators do specify a meas.

→ In particular, A measures  $\{M_i\}_{i=1}^m$

denotes the meas.  $\{M_i \otimes I\}_{i=1}^m$ .

Similarly, B meas.  $M_i$  denotes the meas.  $\{I \otimes \Pi_i\}_{i=1}^n$ .

Eg:  $|+\rangle = \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$  and A measures 2.

This encodes the measurement

$$M_0 = |0\rangle\langle 0| \otimes I, M_1 = |1\rangle\langle 1| \otimes I.$$

Outcome "+1", labelled "0", happens w/

$$P_0 = \langle + | M_0^\dagger M_0 | + \rangle = \langle + | M_0 | + \rangle = \frac{1}{2}.$$

The post-meas. state is

$$\psi_0 = \frac{M_0 |+\rangle}{\|M_0 |+\rangle\|} = |00\rangle.$$

Outcome "-1", labelled "1", happens w/

$$P_1 = \langle + | M_1^\dagger M_1 | + \rangle = \langle + | M_1 | + \rangle = \frac{1}{2}.$$

The post-meas. state is

$$\psi_1 = |11\rangle.$$

**HW** Take the 2 post-meas. state and let B measure 2 in both cases. What do you find?

In another scenario, let A and B both measure  $\mathcal{Z}$ . That is, they perform the measurement

$$M_{00} = |0\rangle\langle 0| \otimes |0\rangle\langle 0|, M_{01} = |0\rangle\langle 0| \otimes |1\rangle\langle 1|$$

$$M_{10} = |1\rangle\langle 1| \otimes |0\rangle\langle 0|, M_{11} = |1\rangle\langle 1| \otimes |1\rangle\langle 1|.$$

Calculate the outcome probabilities and post-meas. States! Compare it w/ the previous setup!

Note you should find: measuring the same time is exactly the same as measuring one after the other.