

## Time evolution / operations on density matrices

Unitary evolution:  $|4\rangle \mapsto U|4\rangle$ , then

$$|4\rangle\langle 4| \mapsto U|4\rangle\langle 4|U^\dagger.$$

Unitary evolution of density matrices:  
 $\rho \mapsto U\rho U^\dagger$ .

Is this the most general thing we can do on a system? No: take a system, embed it in a larger one, time evolve there, trace out.

Example:  $\rho \in S(\mathbb{M})$ , add  $K$

$$(1) \rho \mapsto \rho \otimes |0\rangle\langle 0|$$

$$(2) \rho \otimes |0\rangle\langle 0| \mapsto U(\rho \otimes |0\rangle\langle 0|)U^\dagger$$

$$(3) \text{tr}_K(U(\rho \otimes |0\rangle\langle 0|)U^\dagger) =: T(\rho)$$

Let us write  $U = \sum_k A_k \otimes B_k$ , then

$$T(\rho) = \text{tr}_K(U(\rho \otimes |0\rangle\langle 0|)U^\dagger) =$$

$$\begin{aligned}
& \sum_{k \in \mathcal{K}} \text{Tr}_{\mathcal{K}} \left( A_k \rho A_k^\dagger \otimes B_k |0\rangle\langle 0| B_k^\dagger \right) = \\
&= \sum_{k \in \mathcal{K}} A_k \rho A_k^\dagger \cdot \text{Tr}_{\mathcal{K}} \{ B_k |0\rangle\langle 0| B_k^\dagger \} = \\
&= \sum_{k \in \mathcal{K}} A_k \rho A_k^\dagger \cdot \sum_i \langle i | B_k | 0 \rangle \langle 0 | B_k^\dagger | i \rangle \\
&= \sum_i \left( \sum_k A_k \cdot \langle i | B_k | 0 \rangle \right) \rho \left( \sum_e A_e^\dagger \langle 0 | B_e^\dagger | i \rangle \right) \\
&= \sum_i C_i \rho C_i^\dagger.
\end{aligned}$$

So this kind of time evolution does:

$$f \mapsto T(f) = \sum_i C_i f C_i^\dagger.$$

Just as for density matrices, let us abstract away from the concrete time evolution and characterize this map by its properties:



We have considered the following time evolution: consider the state  $\rho$ , add another system to it (e.g. in the  $|0\rangle\langle 0|$  state), time evolve w/ global unitary (i.e., unitary acting on both systems), then trace out the added system.

$$T(\rho) = \text{tr}_{\mathcal{B}}(U(\rho \otimes |0\rangle\langle 0|)U^+).$$

We have seen:

$$T(\rho) = \sum_i C_i \rho C_i^+,$$

$$\text{with } C_i = (\mathbb{1} \otimes \langle i |) U (\mathbb{1} \otimes |0\rangle).$$

Def (Kraus map): Let  $\mathcal{H}, \mathcal{K}$  be Hilbert spaces.

A linear map  $T: \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{K})$  is called a

Kraus map if  $\exists \{C_i\}_{i \in I} \subseteq \text{Lin}(\mathcal{H}, \mathcal{K})$  s.t.

$$T(\rho) = \sum_i C_i \rho C_i^+.$$

Example: the above. Or even simpler,

$\rho \mapsto M\rho M^+$  is a Kraus map.

The above example contained 2 more operations, each are Kraus:

(1)  $\rho \mapsto \rho \otimes |0\rangle\langle 0|$ , as

$$\rho \otimes |0\rangle\langle 0| = C\rho C^+ \text{ with } C \in \text{Lin}(H, H \otimes k)$$

$$C|k\rangle = |k\rangle \otimes |0\rangle.$$

(2) The partial trace. Here,

$$\text{tr}_B(\rho_{AB}) = \sum_i c_i \rho_{AB} c_i^* \text{ w/}$$

$$c_i \in \text{Lin}(H \otimes k, H), c_i|l k\rangle = |k\rangle \delta_{ik}.$$

Remark: composition of Kraus maps is Kraus.

(3) Post-measurement state (unnormalized):

$$\rho \mapsto \pi_i \rho \pi_i^+ = p_i \rho_i$$

$$(4) \text{Expected post-meas. state: } \rho = \sum_i p_i \rho_i = \sum_i \pi_i \rho \pi_i^+$$

Remark: Kraus maps form convex structure, probabilistic interpretation!

Theorem : Let  $T: B(\mathcal{H}) \rightarrow B(\mathcal{K})$  be Kraus.

Then  $T(\rho) \geq 0$  if  $B(\mathcal{H}) \ni \rho \geq 0$

Proof : We need to check expectation values of  $T(\rho)$ :

$$\begin{aligned}\langle + | T(\rho) | + \rangle &= \sum_i \langle + | C_i \rho C_i^\dagger | + \rangle \\ &= \sum_i \langle C_i^\dagger + | \rho | C_i^\dagger + \rangle \geq 0. \quad \square\end{aligned}$$

We might have problem w/ trace preserving:

Theorem Let  $T: B(\mathcal{H}) \rightarrow B(\mathcal{K})$  be Kraus,

$$T(\rho) = \sum_i C_i \rho C_i^\dagger.$$

Then  $\text{tr}\{T(\rho)\} = \text{tr}\{\rho\}$  iff  $\rho \in B(\mathcal{H})$  iff

$$\sum_i C_i^\dagger C_i = \mathbb{1}.$$

Proof

$$\text{tr}(T(\rho)) = \text{tr}\left(\sum_i C_i \rho C_i^\dagger\right) = \text{tr}\left\{\sum_i C_i^\dagger C_i \rho\right\},$$

and thus  $\text{tr}(T(\rho)) = \text{tr}\{\rho\}$  iff  $\rho \in B(\mathcal{H})$

$$\text{iff } \sum_i C_i^\dagger C_i = \mathbb{1}. \quad \square$$

## Examples:

(1)  $\rho \mapsto U\rho U^\dagger$  is trace-preserving

$$\text{tr}\{U\rho U^\dagger\} = \text{tr}\{U^\dagger U\rho\} = \text{tr}\{\rho\}.$$

(2)  $\rho \mapsto \rho \otimes |0\rangle\langle 0|$  is trace-preserving

(3)  $\rho_{AB} \mapsto \rho_A = \text{tr}_B \rho_{AB}$  - 1-

(4) Given a measurement  $\{\Pi_i\}_{i=1}^k$ ,  $\sum_i \Pi_i^\dagger \Pi_i = \mathbb{1}$ ,

the post-meas. constr.

$$\rho \mapsto \Pi_i \rho \Pi_i^\dagger$$

is not trace preserving. Can't make it trace preserving w/ normalization:

$$\Pi_i^\dagger \Pi_i \notin \mathbb{C} \cdot \mathbb{1}.$$

(i.e., the probability ( $\text{tr}\{\Pi_i \rho \Pi_i^\dagger\}$ ) depends on the state, there is no state-independent normalization).

Remark: Composition of TP Kraus maps is TP.

**HW** Check  $\sum_i C_i^\dagger C_i = \mathbb{1}$  for  $C_i = (\text{id} \otimes \text{til}) \mathcal{U} (\text{id} \otimes \text{ic})$

We have seen that Kraus maps arise, for example, as  $\rho \mapsto \rho \otimes |0\rangle\langle 0| \mapsto U(\rho \otimes |0\rangle\langle 0|)U^\dagger \mapsto k_B$ .

Actually, all trace-preserving Kraus maps are like this.

Then: Let  $T: B(\mathcal{H}) \rightarrow B(\mathcal{H})$  be a Kraus map,

$T(\rho) = \sum_{i=1}^n A_i \rho A_i^\dagger$ . Then  $\exists K$  f.d. Hilbert

space and  $U \in B(\mathcal{H} \otimes \mathbb{C}^K)$  unitary s.t.

$$T(\rho) = \text{tr}_K U(\rho \otimes |0\rangle\langle 0|)U^\dagger.$$

Proof: Let  $K = \mathbb{C}^n$ . Consider

$$X = \sum_i A_i \otimes |i\rangle\langle 0| = \begin{pmatrix} A_1 & 0 \\ A_2 & \ddots \\ \vdots & \ddots \\ A_n & 0 \end{pmatrix}$$

Then

$$X^\dagger X = \begin{pmatrix} \sum_i A_i^\dagger A_i & 0 \\ 0 & \dots \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \dots \end{pmatrix}$$

$X$  has orthonormal columns. We can extend it to an orthonormal basis  $U$ :

$$U = \sum_{ij} U_{ij} \otimes |i\rangle\langle j|, U_{i0} = A_i.$$

Then  $U(\rho \otimes I_{\text{cl}})U^+ =$

$$= \sum_i A_i \rho A_i^+ \otimes |i\rangle\langle i|$$

And thus  $\text{tr}_B(U(\rho \otimes I_{\text{cl}})U^+) = \sum_i A_i \rho A_i^+$ .

□

HW: what happens w/ Kraus maps of the form  $B(H) \rightarrow B(K)$ ?

Just as density matrices are pure states on a larger system, Kraus maps are unitaries on a larger system as well.

Can we have more general time evolution?

Requirements

- $\rho \mapsto T(\rho)$  density matrix
- $T$  s/b linear

Is this enough? No!

Take  $T: A \rightarrow A^T$ . This is tr-preserving and positivity preserving (positive) :

$$\langle +|A|\psi\rangle \geq 0 \quad \Leftrightarrow \quad \langle +|A^T|\psi\rangle \geq 0$$

just by transposing.

Further check if  $A$  and  $B$  share  $|1\rangle = \frac{1}{\sqrt{2}}(|cc\rangle + |ii\rangle)$ ,

$$\text{or } \rho = |1\rangle\langle +| = \frac{1}{2} \begin{pmatrix} 1 & 0 & c & 1 \\ c & 0 & c & 0 \\ c & 0 & c & 0 \\ 1 & c & c & 1 \end{pmatrix}.$$

Try:  $B$  applies the map  $T$

$$(\text{id} \otimes T)(\rho) = \frac{1}{2} \begin{pmatrix} (10)^T & (01)^T \\ (cc)^T & (cc)^T \\ (00)^T & (00)^T \\ (1c)^T & (01)^T \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 0 & c & 0 \\ c & 0 & 1 & 0 \\ 0 & 1 & c & 0 \\ 0 & c & c & 1 \end{pmatrix},$$

and this is not a density matrix, as it is not positive.

Takeaway: even though  $T$  is positivity preserving,  $\text{id} \otimes T$  (or  $T \otimes \text{id}$ ) might not be.

Def: Let  $T: B(\mathcal{H}) \rightarrow B(\mathcal{K})$  be linear. We say that

- $T$  is positivity preserving, or simply positive, if  $T(\rho) \geq 0$  if  $\rho \geq 0$ .
- $T$  is n-positive if  $T \otimes \text{id}: B(\mathcal{H}) \otimes B(\mathbb{C}^n) \rightarrow B(\mathcal{K}) \otimes B(\mathbb{C}^n)$  is positive
- $T$  is completely positive if it is n-positive  $\forall n \in \mathbb{N}$ .

The transposition map is not CP (completely positive).

Physical operations should be CP as

One should able to manipulate entangled states as well.

Example CP map: unitary time evolution.

$$T(\rho) = U\rho U^\dagger$$

Consider now  $\rho \in \mathcal{H} \otimes \mathbb{R}$ ,  $\rho \geq 0$ , then

$$(T \otimes \text{id})(\rho) = (U \otimes \text{id}) \rho (U \otimes \text{id})^\dagger \geq 0 .$$

Similarly,

Thm : Every Kraus map is CP.

Proof : Same as for unitaries : if  $\rho \in \mathcal{B}(\mathcal{H})$ ,

$$T(\rho) = \sum_i C_i \rho C_i^+ \in \mathcal{B}(\mathcal{H}),$$

then for  $\rho \in \mathcal{B}(\mathcal{H}) \otimes \mathcal{B}(\mathcal{L})$

$$(T \otimes \text{id}_{\mathcal{B}(\mathcal{L})})(\rho) = \sum_i (C_i \otimes 1_{\mathcal{L}}) \rho (C_i \otimes 1_{\mathcal{L}})^+,$$

$$\text{as } \text{id}_{\mathcal{B}(\mathcal{L})}(X) = X = 1_{\mathcal{L}} \otimes 1_{\mathcal{L}}.$$

Then given  $|Y\rangle \in \mathcal{H} \otimes \mathcal{L}$ ,

$$\langle Y | (T \otimes \text{id})(\rho) | Y \rangle = \sum_i \langle Y | C_i \otimes 1_{\mathcal{L}} \rho (C_i \otimes 1_{\mathcal{L}})^+ | Y \rangle \geq 0.$$

So Kraus maps describe physical operations! □

We will show : every CP map is Kraus.

Lemma (see ex.class) : Let  $|D\rangle \in \mathbb{C}^d \otimes \mathbb{C}^d$   
be the max. ent. state,

$$|D\rangle = \frac{1}{\sqrt{d}} \sum_{i=0}^{d-1} |ii\rangle. \quad \text{Then}$$

$$(1 \otimes X) |-\rangle = (X^T \otimes \mathbb{1}) |-\rangle \quad \forall X \in \mathcal{B}(\mathbb{C}^d).$$

Proof: direct calculation :

$$\begin{aligned}(1 \otimes X) |-\rangle &= \frac{1}{\sqrt{d}} \sum_{ijk} X_{ij} (1 \otimes |i\rangle \langle j|) |kk\rangle \\ &= \frac{1}{\sqrt{d}} \sum_{ik} X_{ik} |ki\rangle.\end{aligned}$$

$$\begin{aligned}(X^T \otimes \mathbb{1}) |-\rangle &= \frac{1}{\sqrt{d}} \sum_{ijk} X_{ji} (|i\rangle \langle j| \otimes \mathbb{1}) |kk\rangle = \\ &= \frac{1}{\sqrt{d}} \sum_{ik} X_{ki} |ik\rangle = \frac{1}{\sqrt{d}} \sum_{ik} X_{ik} |ki\rangle.\end{aligned}$$

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□

Lemma: Let  $|Y\rangle \in \mathcal{H} \otimes \mathcal{H}$ . Then  $\exists O \in \mathcal{B}(\mathcal{H})$

$$\text{s.t. } |Y\rangle = (O \otimes \text{id}) |-\rangle, |-\rangle = \frac{1}{\sqrt{d}} \sum_i |ii\rangle.$$

Proof: We can write  $|Y\rangle = \sum_i |Y_i\rangle \otimes |i\rangle$ , so

if we define  $O = \sum_k Y_k |k\rangle \langle k|$ , then

$$(O \otimes \text{id}) |-\rangle = |Y\rangle.$$

□

General quantum operations: CP maps.

CP:  $T: B(\mathcal{H}) \rightarrow B(\mathcal{X})$  s.t.  $\forall L$  and  $\rho \in B(\mathcal{H}) \otimes B(\mathcal{L})$ ,

$$(T \otimes \text{Id}_{B(\mathcal{L})})(\rho) \geq 0 \text{ if } \rho \geq 0.$$

Goal: to show that CP maps are Kraus:

$$T(\rho) = \sum_i C_i \rho C_i^+$$

Note: We have seen that Kraus maps are CP.

Lemma (Last lecture): Let  $|-\rangle \in \mathcal{H} \otimes \mathcal{H}$  be

the max. ent. state,  $|-\rangle = \frac{1}{\sqrt{d}} \sum_{i=0}^{d-1} |ii\rangle$ . Then  $\forall O \in B(\mathcal{H})$

$$(O \otimes \text{id})|-\rangle = (\text{id} \otimes O^\top)|-\rangle.$$

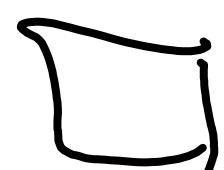
Lemma: Let  $|Y\rangle \in \mathcal{H} \otimes \mathcal{H}$ . Then  $\exists O \in B(\mathcal{H})$

$$\text{s.t. } |Y\rangle = (O \otimes \text{id})|-\rangle, |-\rangle = \frac{1}{\sqrt{d}} \sum_i |ii\rangle.$$

Proof: We can write  $|Y\rangle = \sum_i |Y_i\rangle \otimes |i\rangle$ , so

if we define  $O = \sum_k Y_k |k\rangle \langle k|$ , then

$$(O \otimes \text{id})|-\rangle = |Y\rangle.$$



Theorem: Let  $T: B(\mathcal{H}) \rightarrow B(\mathcal{K})$  be linear. Then the following are equivalent:

(1)  $T$  is CP

(2)  $T$  is  $\dim(\mathcal{H})$ -positive

(3)  $(\text{id} \otimes T)(|\psi\rangle\langle\psi|) \geq 0$ , where  $|\psi\rangle \in \mathcal{H} \otimes \mathcal{H}$  is the max. ent. state,  $|\psi\rangle = \frac{1}{\sqrt{d}} \sum_{i=0}^{d-1} |ii\rangle$

(4)  $T$  is Kraus.

Proof: We have seen  $(4) \Rightarrow (1)$ .  $(1) \Rightarrow (2)$  and

$(2) \Rightarrow (3)$  is by def. We show  $(3) \Rightarrow (4)$ .

Consider  $|\psi\rangle \in B(\mathcal{H}) \otimes B(\mathcal{H})$  max. ent. state

$(|\psi\rangle = \frac{1}{\sqrt{d}} \sum_{i=0}^{d-1} |ii\rangle)$ . We have seen  $\text{tr}_B(|\psi\rangle\langle\psi|) = 1$ .

So

$$T(\rho) = T(\rho \cdot 1) = T\left(\rho \text{tr}_A(|\psi\rangle\langle\psi|)\right) =$$

$$\xrightarrow{\text{by Lemma}} = (\text{tr} \otimes T)(1 \otimes \rho |\psi\rangle\langle\psi|) =$$

$$= (\text{tr} \otimes T)(\rho^T \otimes 1 | \psi \rangle\langle \psi |)$$

$$= \text{tr}_A(\rho^T \otimes 1 \cdot X), \text{ where}$$

$$X = (\text{id} \otimes T)(|\psi\rangle\langle\psi|) \stackrel{*}{\geq} 0, \text{ and thus}$$

by assumption

$X = \sum_k \mu_k |x_k\rangle\langle x_k|$ , e.g.,  $|x_k\rangle \in \mathbb{H} \otimes \mathbb{H}$  are unnormalized eigenvectors. But then we can write

$$X = \sum_k (C_k \otimes 1) |x\rangle\langle x| (C_k^+ \otimes 1),$$

so

$$\begin{aligned} T(\rho) &= \text{tr}_B \left[ \sum_k (C_k \otimes \rho^T) |x\rangle\langle x| (C_k^+ \otimes 1) \right] \\ &= \text{tr}_B \left[ \sum_k (C_k \cdot \rho \otimes 1) |x\rangle\langle x| (C_k^+ \otimes 1) \right] \\ &= \sum_k C_k \rho C_k^+. \end{aligned} \quad \square$$

Remark: We have seen that  $(\text{id} \otimes T)(I \otimes X \otimes I)$  is one-to-one. In fact,  
 $(\text{id} \otimes T)(I \otimes X \otimes I) = \sum_{i,j} |i\rangle\langle j| \otimes T(|i\rangle\langle j|)$ , it is easy to read off  $T$  from this mx. Can be used to check CP-ness! We have done so for transpose.

## Significance of CP maps :

Can describe probabilistic time evolution.

Typically, such scenario arises at communication tasks :



- A prepares a state  $| \psi \rangle \in \mathcal{H}$
- Wants to send it to B.
- But the channel is noisy,  $| \psi \rangle$  gets distorted.

Example noise model:  $A = \mathbb{C}^2$ ,

proba.  $P_0$  -  $| \psi \rangle$  gets transmitted properly

proba.  $P_x$  -  $X| \psi \rangle$  gets transmitted instead  
of  $| \psi \rangle$  - an X error occurs.

proba.  $P_y$  -  $Y| \psi \rangle$  gets transmitted instead

of  $|Y\rangle$ )

proba.  $p_2 - |Y\rangle$  gets transmitted.

We can then think of the message after transmission as the ensemble

$$\left\{ (p_i, \sigma_i | Y) \right\}_{i=0}^3$$

The actual info transmitted is the corresponding density operator:

$$\rho = \sum_{i=0}^3 p_i \cdot \sigma_i | Y \rangle \langle Y | \sigma_i^+$$

instead of the density operator  $|Y\rangle \langle Y|$ .

That is, we model the channel by the CPTP map (quantum channel)

$$\rho \mapsto \sum_{i=0}^3 p_i \sigma_i \rho \sigma_i^+$$

These maps are important not only in communication, but also at quantum Computation: Quantum computers are noisy, and this is how we model noise.